

## 6. Weakly analytic vectors

**6.1. Weakly analytic vectors and Harish-Chandra's analyticity.** Let  $G$  be a Lie group and  $V$  a continuous representation of  $G$ .

**Definition 6.1.** A vector  $v \in V$  is called **weakly analytic** if for each  $h \in V^*$  the matrix coefficient  $h(gv)$  is a real analytic function of  $g$ .

**Example 6.2.** Let  $V = L^2(S^1)$  and  $G = S^1$  act by rotations. So if  $v(x) = \sum_{n \in \mathbb{Z}} v_n e^{inx}$  and  $h(x) = \sum_{n \in \mathbb{Z}} h_n e^{-inx}$  then for  $g = e^{i\theta}$  we have

$$h(g(\theta)v) = \sum_{n \in \mathbb{Z}} h_n v_n e^{in\theta}.$$

Thus  $v$  is a weakly analytic vector iff the sequence  $h_n v_n$  decays exponentially for any  $\ell_2$ -sequence  $\{h_n\}$ , which is equivalent to saying that  $v_n$  decays exponentially, i.e.,  $v(\theta)$  is analytic.

**Theorem 6.3.** (*Harish-Chandra's analyticity theorem*) *If  $V$  is an admissible representation of a semisimple Lie group  $G$  with maximal compact subgroup  $K$  then every  $v \in V^{K\text{-fin}}$  is a weakly analytic vector.*

Theorem 6.3 is proved in the next two subsections.

**6.2. Elliptic regularity.** The proof of Theorem 6.3 is based on the **analytic elliptic regularity theorem**, which is a fundamental result in analysis (see [Ca]). To state it, let  $X$  be a smooth manifold, and  $D(X)$  the algebra of (real) differential operators on  $X$ . This algebra has a filtration by order:  $D_0(X) = C^\infty(X) \subset D_1(X) \subset \dots$ , such that

$$D_n(X) = \{D \in \text{End}_{\mathbb{C}} C^\infty(X) : [D, f] \in D_{n-1}(X) \forall f \in C^\infty(X)\}, \quad n \geq 1,$$

and  $\text{gr} D(X) = \bigoplus_{n \geq 0} \Gamma(X, S^n TX)$ , where  $\Gamma$  takes sections of the vector bundle. Thus for every differential operator  $D$  on  $X$  of order  $n$  we have its **symbol**  $\sigma(D) \in \text{gr}_n D(X) = \Gamma(X, S^n TX)$ . For every  $x \in X$ ,  $\sigma(D)_x$  is thus a homogeneous polynomial of degree  $n$  on  $T_x^* X$ .

**Definition 6.4.** We say that  $D$  is **elliptic** at  $x$  if  $\sigma(D)_x(p) \neq 0$  for nonzero  $p \in T_x^* X$ . We say that  $D$  is **elliptic** (on  $X$ ) if it is elliptic at all points  $x \in X$ .

**Example 6.5.** 1. If  $\dim X = 1$  then any differential operator with nonvanishing symbol is elliptic.

2. Fix a Riemannian metric on  $X$  and let  $\Delta$  be the corresponding Laplace operator,  $\Delta f = \text{div}(\text{grad} f)$ . Then  $\Delta$  is elliptic.

3. If  $D$  is elliptic then for any nonzero polynomial  $P \in \mathbb{R}[t]$  the operator  $P(D)$  is elliptic.

Note that ellipticity is an open condition, since it is equivalent to non-vanishing of  $\sigma(D)_x$  on the unit sphere in  $T_x^*X$  (under some inner product). Thus the set of  $x \in X$  on which a given operator  $D$  is elliptic is open in  $X$ .

**Theorem 6.6.** (*Elliptic regularity*) Suppose  $D$  is an elliptic operator with real analytic coefficients on an open set  $U \subset \mathbb{R}^N$ , and  $f(x)$  is a smooth solution of the PDE

$$Df = 0$$

on  $U$ . Then  $f$  is real analytic on  $U$ .

**Corollary 6.7.** Let  $X$  be a real analytic manifold and  $D$  an elliptic operator on  $X$  with analytic coefficients. Then every smooth solution of the equation  $Df = 0$  on  $X$  is actually real analytic.

**Remark 6.8.** 1. This is, in fact, true much more generally, when  $f$  is a weak (i.e., distributional) solution of the equation  $Df = 0$ . Also the equation  $Df = 0$  can be replaced by a more general inhomogeneous equation  $Df = g$ , where  $g$  is analytic.

2. If  $D$  is not elliptic, there is an obvious counterexample: the equation  $\frac{\partial^2 f}{\partial x \partial y} = 0$  on  $\mathbb{R}^2$  has smooth non-analytic solutions of the form  $f(x) + g(y)$ ,  $f, g \in C^\infty(\mathbb{R})$ .

**Example 6.9.** 1. For  $N = 1$  this theorem just says that a solution of an ODE

$$f^{(n)}(x) + a_1(x)f^{(n-1)}(x) + \dots + a_n(x)f(x) = 0$$

with real analytic coefficients is itself real analytic, a classical fact about ODE.

2. Let  $N = 2$  and  $D = \Delta$  be the Laplace operator on  $U \subset \mathbb{R}^2$  with respect to some Riemannian metric with real analytic coefficients. This metric defines a conformal structure with real analytic local complex coordinate  $z$ . Then every harmonic function  $f$  (i.e., one satisfying  $\Delta f = 0$ ) is a real part of a holomorphic function of  $z$ , hence is real analytic, which proves elliptic regularity in this special case.

3. Suppose  $f, g$  are Schwartz functions on  $\mathbb{R}^n$  and  $D = Q(\partial)$  is an elliptic operator with constant coefficients, where  $Q$  is a real polynomial (so the leading term of  $Q$  is nonvanishing for nonzero vectors). Then elliptic regularity says that if  $g$  is analytic, so is  $f$ . This can be easily proved using Fourier transform. Indeed, for Fourier transforms we get  $Q(p)\widehat{f}(p) = \widehat{g}(p)$ . Thus  $\widehat{f}(p) = \frac{\widehat{g}(p)}{Q(p)}$ , so this must be a smooth function. Note that  $|Q(p)| \rightarrow \infty$  as  $p \rightarrow \infty$  because  $Q$  has non-vanishing leading

term. So, since  $g$  is analytic,  $\widehat{g}$  decays exponentially at infinity, hence so does  $\widehat{f}$ . Thus  $f$  is analytic.

**6.3. Proof of Harish-Chandra's analyticity Theorem.** We are now ready to prove Theorem 6.3. Let  $\mathfrak{g} = \text{Lie}G$  and  $b \in U(\mathfrak{g})$ . Then we have a linear operator  $\pi_*(b) : V^\infty \rightarrow V^\infty$ , which we will write just as  $b$  for short. Moreover, if  $b \in U(\mathfrak{g})^K$  then it preserves the subspace  $V^\rho \subset V^\infty$  for each irreducible representation  $\rho$  of  $K$ . Therefore, since all  $V^\rho$  are finite dimensional, for any  $v \in V^{K\text{-fin}}$  there exists a nonzero polynomial  $P \in \mathbb{R}[t]$  such that  $P(b)v = 0$  (e.g., we can take  $P$  to be the product of the characteristic polynomial of  $b$  on  $Kv$  by its complex conjugate).

Now recall that  $U(\mathfrak{g})$  can be thought of as the algebra of left-invariant real differential operators on  $G$ . Let  $\psi_{h,v}(g) := h(gv)$  be the matrix coefficient function. We know that this function is smooth, and we have

$$(P(b)\psi_{h,v})(g) = h(gP(b)v) = 0.$$

Thus if  $b$  is an elliptic differential operator on  $G$ , it will follow from Corollary 6.7 that  $\psi_{h,v}$  is real analytic, as desired.

It remains to find  $b \in U(\mathfrak{g})^K$  which defines an elliptic operator on  $G$ . For this purpose fix a left-invariant Riemannian metric on  $G$ , and make it  $K$ -invariant (under right, or, equivalently, adjoint action) by averaging over  $K$ . Then the Laplace operator  $\Delta$  corresponding to this metric is elliptic and given by some element  $\Delta \in U(\mathfrak{g})^K$ , so we may take  $b = \Delta$ . This proves Theorem 6.3.

**Remark 6.10.** If  $G$  is simple, there exists a unique up to scaling two-sided invariant metric on  $G$ . This metric, however, is pseudo-Riemannian rather than Riemannian if  $G$  is not compact. Thus the corresponding Laplace operator is hyperbolic rather than elliptic, so not suitable for our purposes.

#### 6.4. Applications of weakly analytic vectors.

**Corollary 6.11.** *The action of  $G$  on  $V$  is completely determined by the corresponding  $(\mathfrak{g}, K)$ -module  $V^{K\text{-fin}}$ .*

*Proof.* Since  $V^{K\text{-fin}}$  is dense in  $V$ , it suffices to specify  $gv$  for  $v \in V^{K\text{-fin}}$ . For this it suffices to specify  $h(gv)$  for all  $h \in V^*$ . By Theorem 6.3 and the analytic continuation principle, this is determined by the derivatives of all orders of  $h(gv)$  at  $g = 1$ . But these have the form  $h(bv)$  where  $b \in U(\mathfrak{g})$ , so are determined by  $bv$ .  $\square$

**Corollary 6.12.** *Let  $W \subset V^{K\text{-fin}}$  be a sub- $(\mathfrak{g}, K)$ -module. Then the closure  $\overline{W} \subset V$  is  $G$ -invariant.*

*Proof.* Let  $w \in W$ ,  $g \in G$ . It suffices to show that  $gw \in \overline{W}$ . If not, then the space  $W' := \overline{W} \oplus \mathbb{C}gw$  is a closed subspace of  $V$  containing  $\overline{W}$  as a subspace of codimension 1. So there exists a unique continuous linear functional  $h : W' \rightarrow \mathbb{C}$  such that  $h(gw) = 1$  and  $h|_{\overline{W}} = 0$ . By the Hahn-Banach theorem,  $h$  can be extended to an element of  $V^*$ . Thus to get a contradiction, it is enough to show that for every  $h \in V^*$  that vanishes on  $\overline{W}$ , we have  $h(gw) = 0$ . But by Theorem 6.3, this function is analytic in  $g$ . So it suffices to check that its derivatives at  $g = 1$  vanish. But these derivatives are of the form  $h(bw)$  for  $b \in U(\mathfrak{g})$ , so vanish since  $bw \in W$ .  $\square$

**Corollary 6.13.** *Let  $V$  be an admissible representation of  $G$ . There is a bijection between subrepresentations of  $V$  and  $(\mathfrak{g}, K)$ -submodules of  $V^{K\text{-fin}}$ , given by  $\alpha : U \subset V \mapsto U^{K\text{-fin}}$ . The inverse is given by  $\beta : W \mapsto \overline{W}$ .*

*Proof.* Since  $U^{K\text{-fin}}$  is dense in  $U$ , we have  $\beta \circ \alpha = \text{Id}$ . To show that  $\alpha \circ \beta = \text{Id}$ , we need to show that  $\overline{W}^{K\text{-fin}} = W$ . Clearly  $\overline{W}^{K\text{-fin}}$  contains  $W$ , so we just need to prove the opposite inclusion. Let  $w \in \overline{W}^\rho$ , then we have a sequence  $w_n \rightarrow w$ ,  $w_n \in W$ . Now apply the projector  $\xi_\rho$ :

$$w'_n := \pi(\xi_\rho)w_n \rightarrow \pi(\xi_\rho)w = w, \quad n \rightarrow \infty,$$

and  $w'_n \in W^\rho$ . Thus  $w \in \overline{W}^\rho = W^\rho$ , since  $W^\rho$  is finite dimensional. Hence  $\overline{W}^{K\text{-fin}} = W$ .  $\square$

**Corollary 6.14.** *If  $V$  is irreducible then  $V^{K\text{-fin}}$  is an irreducible  $(\mathfrak{g}, K)$ -module, and vice versa.*

**Corollary 6.15.** *If  $V$  is of finite length then  $V^{K\text{-fin}}$  is a Harish-Chandra module.*

*Proof.* By Corollary 6.13,  $V^{K\text{-fin}}$  is a finite length  $(\mathfrak{g}, K)$ -module. But any finite length  $(\mathfrak{g}, K)$ -module is finitely generated over  $U(\mathfrak{g})$ , hence a Harish-Chandra module.  $\square$

Let  $\text{Rep } G$  denote the category of admissible representations of  $G$  of finite length, and  $\mathcal{HC}_G$  the category of Harish-Chandra modules for  $G$ . Thus we obtain

**Theorem 6.16.** *The assignment  $V \mapsto V^{K\text{-fin}}$  defines an exact, faithful functor  $\text{Rep } G \rightarrow \mathcal{HC}_G$ , which maps irreducibles to irreducibles.*

MIT OpenCourseWare  
<https://ocw.mit.edu>

## 18.757 Representations of Lie Groups

Fall 2023

For information about citing these materials or our Terms of Use, visit: <https://ocw.mit.edu/terms>.