

## 12. Chevalley-Shephard-Todd theorem, part II

**12.1. Degrees of a complex reflection group.** The degrees  $d_i$  of the generators  $f_i$  of  $\mathbb{C}[V]^G$  for a complex reflection group  $G$  are uniquely determined up to relabelings (even though  $f_i$  themselves are not). Indeed, recall that for a  $\mathbb{Z}$ -graded vector space  $M$  with finite dimensional homogeneous components its **Hilbert series** is

$$H(M, q) = \sum_{i \in \mathbb{Z}} \dim M[i] q^i$$

(also called Hilbert polynomial if  $\dim M < \infty$ ). Then the Hilbert series of  $\mathbb{C}[V]^G$  is

$$H(\mathbb{C}[V]^G, q) = \frac{1}{\prod_{i=1}^r (1 - q^{d_i})},$$

which uniquely determines  $d_i$ . These numbers are usually arranged in non-decreasing order and are called the **degrees** of  $G$ . For instance, for Weyl groups of classical simple Lie algebras we saw in Examples 10.3, 10.4 that in type  $A_{n-1}$  the degrees are  $2, 3, \dots, n$ , for  $B_n$  and  $C_n$  they are  $2, 4, \dots, 2n$ , and for  $D_n$  they are  $2, 4, \dots, 2n - 2$  and  $n$ . In particular, in the last case, if  $n$  is even, the degree  $n$  occurs twice.

**12.2.  $\mathbb{C}[V]$  as a  $\mathbb{C}[V]^G$ -module.** Let  $R$  be a commutative ring. Let  $A$  be a commutative  $R$ -algebra with an  $R$ -linear action of a finite group  $G$ .

**Proposition 12.1. (Hilbert-Noether theorem)** (i)  $A$  is integral over  $A^G$ . In particular, if  $A$  finitely generated then it is module-finite over  $A^G$ .

(ii) If  $R$  is Noetherian and  $A$  is finitely generated then so is  $A^G$ .

*Proof.* (i) We will prove only the first statement, as the second one then follows immediately. For  $a \in A$ , consider the monic polynomial

$$P_a(x) := \prod_{g \in G} (x - ga).$$

It is easy to see that  $P_a \in A^G[x]$  and  $P_a(a) = 0$ , which implies the statement.

(ii) This follows from (i) and the Artin-Tate lemma: If  $B \subset A$  is an  $R$ -subalgebra of a finitely generated  $R$ -algebra  $A$  over a Noetherian ring  $R$  and  $A$  is module-finite over  $B$  then  $B$  is finitely generated.<sup>16</sup>  $\square$

<sup>16</sup>Recall the proof of the Artin-Tate lemma. Let  $x_1, \dots, x_m$  generate  $A$  as an  $R$ -algebra and let  $y_1, \dots, y_n$  generate  $A$  as a  $B$ -module. Then we can write

$$x_i = \sum_j b_{ij} y_j, \quad y_i y_j = \sum_k b_{ijk} y_k$$

This shows for any finite  $G \subset GL(V)$ , the algebra  $\mathbb{C}[V]$  is module-finite over  $\mathbb{C}[V]^G$ . Note that in (ii) we again proved that  $\mathbb{C}[V]^G$  is finitely generated.

**Theorem 12.2.** *(Chevalley-Shephard-Todd theorem, part II) If  $G$  is a complex reflection group then for any irreducible representation  $\rho$  of  $G$ , the  $\mathbb{C}[V]^G$ -module  $\text{Hom}_G(\rho, \mathbb{C}[V])$  is free of rank  $\dim \rho$ . Thus the  $G$ -module  $R_0 = \mathbb{C}[x_1, \dots, x_n]/(f_1, \dots, f_n)$  is the regular representation and  $\prod_{i=1}^n d_i = |G|$ . Moreover, the Hilbert polynomial  $H(R_0, q) := \sum_{N \geq 0} \dim R_0[N]q^N$  is*

$$H(R_0, q) = \prod_{i=1}^n [d_i]_q,$$

where  $[d]_q := \frac{1-q^d}{1-q} = 1 + q + \dots + q^{d-1}$ .

Thus we see that the Hilbert polynomial of  $\text{Hom}_G(\rho, R_0)$  is some polynomial  $K_\rho(q)$  with nonnegative integer coefficients and  $K_\rho(1) = \dim \rho$ . It is called the **Kostka polynomial**. We have

$$\sum_{\rho} K_\rho(q) \dim \rho = H(R_0, q) = \prod_{i=1}^n [d_i]_q.$$

For example, for  $G = S_3$  and  $V$  the reflection representation we have three irreducible representations:  $\mathbb{C}_+$  (trivial),  $\mathbb{C}_-$  (sign) and  $V$ . We have  $K_{\mathbb{C}_+}(q) = 1$  and

$$1 + 2K_V(q) + K_{\mathbb{C}_-}(q) = (1+q)(1+q^2) = 1 + 2q + 2q^2 + q^3.$$

It follows that

$$K_V(q) = q + q^2, \quad K_{\mathbb{C}_-}(q) = q^3.$$

**12.3. Graded modules.** For the proof of Theorem 12.2 we need to recall some basics from commutative algebra, which we discuss in the next few subsections.

Let  $k$  be a field,  $S$  a  $\mathbb{Z}_+$ -graded (not necessarily commutative)  $k$ -algebra with generators  $f_i$  of positive integer degrees  $\deg f_i = d_i$ ,  $M$  a  $\mathbb{Z}_+$ -graded left  $S$ -module, and  $M_0 := M/S_+M$ , where  $S_+ \subset S$  is the augmentation ideal.

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with  $b_{ij}, b_{ijk} \in B$ . Then  $A$  is module-finite over the  $R$ -algebra  $B_0 \subset B$  generated by  $b_{ij}, b_{ijk}$  (namely, it is generated as a module over  $B_0$  by the  $y_i$ ). Using that  $R$  and hence  $B_0$  is Noetherian, we obtain that  $B$  is also module-finite over  $B_0$ . Since  $B_0$  is a finitely generated  $R$ -algebra, so is  $B$ .

**Lemma 12.3.** (i) Any homogeneous lift  $\{v_i^*\}$  of a homogeneous basis  $\{v_i\}$  of  $M_0$  to  $M$  is a system of generators for  $M$ ; in particular, if  $\dim M_0 < \infty$  then  $M$  is finitely generated.

(ii) If in addition  $M$  is projective, then  $\{v_i^*\}$  is actually a basis of  $M$  (in particular,  $M$  is free). Thus if  $\dim M_0[i] < \infty$  for all  $i$  then

$$H(M, q) = H(M_0, q)H(S, q).$$

In particular, if  $S = k[f_1, \dots, f_n]$  then

$$H(M, q) = \frac{H(M_0, q)}{\prod_{i=1}^n (1 - q^{d_i})}.$$

*Proof.* (i) We prove that any homogeneous element  $u \in M$  is a linear combination of  $v_i^*$  with coefficients in  $S$  by induction in  $\deg u$  (with obvious base). Namely, if  $u_0$  is the image of  $u$  in  $M_0$  then  $u_0 = \sum_i c_i v_i$  for some  $c_i \in k$  ( $c_i = 0$  unless  $\deg v_i = \deg u$ ), and so

$$u - \sum_i c_i v_i^* = \sum_j f_j u_j,$$

with  $\deg u_j = \deg u - d_j$ . So by the induction assumption  $u_j = \sum_i p_{ij} v_i^*$  for some homogeneous  $p_{ij} \in S$  of degree  $\deg u - d_j - \deg v_i^*$ , and we get

$$u = \sum_i p_i v_i^*,$$

where  $p_i := c_i + \sum_j f_j p_{ij}$ .<sup>17</sup>

(ii) Let  $M'$  be the free graded  $S$ -module with basis  $w_i$  of degrees  $\deg w_i = \deg v_i$ , and  $f : M' \rightarrow M$  be the surjection sending  $w_i$  to  $v_i^*$ . Since  $M$  is projective, the map

$$f \circ : \text{Hom}(M, M') \rightarrow \text{Hom}(M, M)$$

is surjective, so we can pick a homogeneous  $g : M \rightarrow M'$  of degree 0 such that  $f \circ g = \text{id}_M$ . Then  $g \circ f : M' \rightarrow M'$  is a projection which identifies  $M'$  with  $M \oplus \text{Ker } f$  as a graded  $S$ -module. But the map  $f_0 : M'_0 \rightarrow M_0$  induced by  $f$  sends the basis  $w_i$  of  $M'_0$  to the basis  $v_i$  of  $M_0$ , so is an isomorphism. It follows that  $(\text{Ker } f)_0 = 0$ , so  $\text{Ker } f = 0$  and  $f$  is an isomorphism, as claimed.  $\square$

**12.4. Koszul complexes.** Let  $R$  be a commutative ring and  $f \in R$ . Then we can define a 2-step **Koszul complex**  $K_R(f) = [R \rightarrow R]$  with the differential given by multiplication by  $f$  (the two copies of  $R$  sit in degrees  $-1$  and  $0$ ). We have  $H^0(K_R(f)) = R/(f)$ , and  $K_R(f)$  is exact

<sup>17</sup>Note that for each  $i$ , one of these two summands is necessarily 0.

in degree  $-1$  if and only if  $f$  is not a zero divisor in  $R$ . This allows us to define the Koszul complex of several elements of  $R$ :

$$K_R(f_1, \dots, f_m) = K_R(f_1) \otimes_R \dots \otimes_R K_R(f_m)$$

with  $H^0(K_R(f_1, \dots, f_m)) = R/(f_1, \dots, f_m)$ . Thus

$$K_R(f_1, \dots, f_m) = K_R(f_1, \dots, f_{m-1}) \otimes_R K_R(f_m).$$

For example, let  $R := k[x_1, \dots, x_n]$  for a field  $k$ . Then the complex  $K_n := K_R(x_1, \dots, x_n) = K_1^{\otimes n}$  is acyclic in negative degrees and has  $H^0 = k$ . Thus for any commutative  $k$ -algebra  $S$ , the complex  $K_{R \otimes S}(x_1, \dots, x_n) := K_R(x_1, \dots, x_n) \otimes S$  is acyclic in negative degrees and has  $H^0 = S$ . By taking  $S = R$  and making a linear change of variable, this yields a free resolution of  $R$  as an  $R$ -bimodule called the **Koszul resolution**, which we'll denote it by  $K_n$ :

$$0 \rightarrow R \otimes \wedge^n k^n \otimes R \rightarrow \dots \rightarrow R \otimes \wedge^2 k^n \otimes R \rightarrow R \otimes k^n \otimes R \rightarrow R \otimes R \rightarrow R.$$

Now if  $M$  is any  $R$ -module then  $K_n \otimes_R M$  is a free resolution of  $M$  of length  $n$ . Thus we obtain

**Proposition 12.4.** *If  $i > n$  then for any  $k[x_1, \dots, x_n]$ -modules  $M, N$ , one has  $\text{Ext}^i(M, N) = 0$ .*

**12.5. Syzygies.** Now assume that  $M$  is a finitely generated graded module over  $R = k[x_1, \dots, x_n]$ . Then  $M =: M_0$  is a quotient of  $R \otimes V_0$ , where  $V_0$  is a finite dimensional graded vector space. By the Hilbert basis theorem, the kernel  $M_1$  of the map  $\phi_0 : R \otimes V_0 \rightarrow M$  is finitely generated, so is a quotient of  $R \otimes V_1$  for some finite dimensional graded space  $V_1$ , and the kernel  $M_2$  of  $\phi_1 : R \otimes V_1 \rightarrow M_1$  is finitely generated, and so on. The long exact sequences of Ext groups associated to the short exact sequences

$$0 \rightarrow M_{j+1} \rightarrow R \otimes V_j \rightarrow M_j \rightarrow 0$$

and Proposition 12.4 then imply by induction in  $j$  that  $\text{Ext}^i(M_j, N) = 0$  for any  $R$ -module  $N$  if  $i > n - j$ . In particular, the module  $M_n$  is projective, hence free by Lemma 12.3, i.e., we may take  $V_n$  such that  $M_n = R \otimes V_n$ . This gives a free resolution of  $M$  by finitely generated graded  $R$ -modules:

$$0 \rightarrow R \otimes V_n \rightarrow \dots \rightarrow R \otimes V_0 \rightarrow M.$$

Thus, taking graded Euler characteristic we obtain

**Theorem 12.5. (Hilbert syzygies theorem)** *We have*

$$H(M, q) = \frac{p(q)}{(1-q)^n},$$

where  $p$  is a polynomial with integer coefficients.

*Proof.* Indeed,  $p$  is just the alternating sum of the Hilbert polynomials of  $V_j$ .  $\square$

**12.6. The Hilbert-Samuel polynomial.** Let  $R$  be a commutative Noetherian ring and  $\mathfrak{m} \subset R$  a maximal ideal. Then  $R/\mathfrak{m} = k$  is a field and  $\mathfrak{m}^N/\mathfrak{m}^{N+1}$  is a finite dimensional  $k$ -vector space. Thus  $\text{gr}(R) := \bigoplus_{N \geq 0} \mathfrak{m}^N/\mathfrak{m}^{N+1}$  (where  $\mathfrak{m}^0 := R$ ) is a graded algebra generated in degree 1. So by the Theorem 12.5, the Hilbert series

$$H(\text{Gr}(R), q) = \sum_{N \geq 0} \dim_k(\mathfrak{m}^N/\mathfrak{m}^{N+1})q^N$$

is a rational function of the form  $\frac{p(q)}{(1-q)^m}$ , where  $p$  is a polynomial and  $m = \dim_k(\mathfrak{m}/\mathfrak{m}^2)$ . Hence

$$P_{R,\mathfrak{m}}(N) := \sum_{j=0}^{N-1} \dim_k(\mathfrak{m}^j/\mathfrak{m}^{j+1}) = \text{length}(R/\mathfrak{m}^N)$$

is a polynomial in  $N$  for large enough  $N$  called the **Hilbert-Samuel polynomial** of  $R$  at  $\mathfrak{m}$ . The degree of this polynomial equals the order of the pole of  $H(\text{Gr}(R), q)$  at  $q = 1$ . We call this degree the **dimension** of  $R$  at  $\mathfrak{m}$ , denoted  $\dim_{\mathfrak{m}} R$ . For example, if  $R = k[x_1, \dots, x_n]$  and  $\mathfrak{m}$  is any maximal ideal then  $P_{R,\mathfrak{m}}(N) = \binom{N+n-1}{n}$ , so  $\dim_{\mathfrak{m}} R = n$ .

**Lemma 12.6.** *Let  $f \in \mathfrak{m}$ . Then  $\dim_{\mathfrak{m}}(R/f) \geq \dim_{\mathfrak{m}} R - 1$ .*

*Proof.* The ideal  $(f)$  in  $R/\mathfrak{m}^N$  is the image of  $fR/\mathfrak{m}^{N-1}$ . So we have

$$\begin{aligned} P_{R/f,\mathfrak{m}}(N) &= \text{length}((R/\mathfrak{m}^N)/f) \geq \text{length}(R/\mathfrak{m}^N) - \text{length}(R/\mathfrak{m}^{N-1}) \\ &= P_{R,\mathfrak{m}}(N) - P_{R,\mathfrak{m}}(N-1), \end{aligned}$$

which implies the statement.  $\square$

Let  $k$  be an algebraically closed field and  $\mathfrak{m}_p \subset k[x_1, \dots, x_n]$  be the maximal ideal corresponding to  $p \in k^n$ .

**Corollary 12.7.** *Let  $f_1, \dots, f_m \in k[x_1, \dots, x_n]$  be homogeneous polynomials. Let  $Z$  be an irreducible component of the zero set  $Z(f_1, \dots, f_m) \subset k^n$ . Then  $\dim_{\mathfrak{m}_0} k[Z] \geq n - m$ .*

*Proof.* Let  $p \in Z$  be not contained in other components of  $Z(f_1, \dots, f_m)$ . Applying Lemma 12.6 repeatedly, we get  $\dim_{\mathfrak{m}_p} k[Z] \geq n - m$ . But  $\mathfrak{m}_0 = \text{gr}(\mathfrak{m}_p)$ , hence  $\mathfrak{m}_0^N \subset \text{gr}(\mathfrak{m}_p^N)$  and  $k[Z]/\mathfrak{m}_p^N$  is a quotient of  $k[Z]/\mathfrak{m}_0^N$ . Thus  $\dim_{\mathfrak{m}_0} k[Z] \geq \dim_{\mathfrak{m}_p} k[Z]$ <sup>18</sup>, so  $\dim_{\mathfrak{m}_0} k[Z] \geq n - m$ .  $\square$

<sup>18</sup>In fact these dimensions are equal (to  $\dim Z$ ), but we don't use it here.

**12.7. Regular sequences.** Let  $R$  be a commutative ring. A sequence  $f_1, \dots, f_n \in R$  is called a **regular sequence** if for each  $j \in [1, n]$ ,  $f_j$  is not a zero divisor in  $R/(f_1, \dots, f_{j-1})$ , and  $R/(f_1, \dots, f_n) \neq 0$ .

**Lemma 12.8.** *If  $f_1, \dots, f_n \in R$  is a regular sequence then the complex  $K_R(f_1, \dots, f_n)$  is exact in negative degrees.*

*Proof.* The proof is by induction in  $n$  with obvious base. For the induction step, note that by the inductive assumption  $K_R(f_1, \dots, f_{n-1})$  is exact in negative degrees with  $H^0 = R/(f_1, \dots, f_{n-1})$ , so the cohomology of  $K_R(f_1, \dots, f_n)$  coincides with the cohomology  $K_{R/(f_1, \dots, f_{n-1})}(f_n)$ , which vanishes in negative degrees since  $f_n$  is not a zero divisor in  $R/(f_1, \dots, f_{n-1})$ .  $\square$

Now let  $k$  be an algebraically closed field.

**Proposition 12.9.** *Suppose  $f_1, \dots, f_n \in R := k[x_1, \dots, x_n]$  are homogeneous polynomials of positive degree such that the zero set  $Z(f_1, \dots, f_n)$  consists of the origin. Then  $f_1, \dots, f_n$  is a regular sequence.*

*Proof.* We need to show that for each  $m \leq n - 1$ ,  $f_{m+1}$  is not a zero divisor in  $R_m := k[x_1, \dots, x_n]/(f_1, \dots, f_m)$ . Let  $Z_m = Z(f_1, \dots, f_m)$ . It suffices to show that  $f_{m+1}$  does not vanish on any irreducible component of  $Z_m$ . Assume the contrary, i.e., that it vanishes on such a component  $Z_m^0$ . By Corollary 12.7, we have  $\dim_{\mathfrak{m}_0} k[Z_m^0] \geq n - m$ . Since  $f_{m+1} = 0$  on  $Z_m^0$ , using Lemma 12.6 repeatedly, we get

$$\dim_{\mathfrak{m}_0} k[Z_m^0]/(f_{m+1}, \dots, f_n) \geq 1,$$

which is a contradiction, as the zero set of  $f_{m+1}, \dots, f_n$  on  $Z_m^0$  consists just of the origin, so this dimension must be zero.  $\square$

**Proposition 12.10.** *Suppose  $f_1, \dots, f_n \in R := k[x_1, \dots, x_n]$  are homogeneous polynomials of degrees  $d_1, \dots, d_n > 0$  such that  $R$  is a finitely generated module over  $S := k[f_1, \dots, f_n]$ . Then this module is free of rank  $\prod_{i=1}^n d_i$ . Moreover, the Hilbert polynomial of  $R_0 := k[x_1, \dots, x_n]/(f_1, \dots, f_m)$  (or, equivalently, of a space of free homogeneous generators of this module) is*

$$(10) \quad H(R_0, q) = \prod_{i=1}^n [d_i]_q.$$

*Proof.* By Lemma 12.3, it suffices to show that  $R$  is a free  $S$ -module. By assumption  $R_0$  is finite dimensional, i.e., the equations

$$f_1 = \dots = f_n = 0$$

have only the zero solution. By Proposition 12.9, this implies that  $f_1, \dots, f_n$  is a regular sequence, so by Lemma 12.8 the Koszul complex

$K_R(f_1, \dots, f_n)$  associated to this sequence is exact in negative degrees. Now, write  $S$  as  $k[a_1, \dots, a_n]$  with  $\deg a_j = 0$  and consider the complex  $K_{R \otimes S}(f_1 - a_1, \dots, f_n - a_n)$ . This complex is filtered by degree with associated graded being

$$K_{R \otimes S}(f_1, \dots, f_n) = K_R(f_1, \dots, f_n) \otimes S.$$

Thus  $K_{R \otimes S}(f_1 - a_1, \dots, f_n - a_n)$  is also exact in nonzero degrees with

$$H^0 = k[x_1, \dots, x_n, a_1, \dots, a_n]/(f_1 - a_1, \dots, f_n - a_n) = R.$$

and the associated graded under the above filtration is  $\text{gr}(R) = R_0 \otimes S$  as an  $S$ -module. This module is free over  $S$ , hence so is  $R$ .  $\square$

**Remark 12.11.** Let  $f_1, \dots, f_r$  be a regular sequence of homogeneous polynomials in  $k[x_1, \dots, x_n]$  of positive degree and  $Z_m \subset k^n$  be the zero set of  $f_1, \dots, f_m$ . Then  $f_{m+1}$  is not a zero divisor in  $k[x_1, \dots, x_n]/(f_1, \dots, f_m)$ , hence does not vanish identically on any irreducible component of  $Z_m$ . So by induction in  $m$  we get that the dimension of every irreducible component of  $Z_m$  is  $\leq n - m$ . By Corollary 12.7, this implies that this dimension is precisely  $n - m$ ; in particular,  $r \leq n$ , and every irreducible component of the affine scheme  $\mathcal{Z} := \text{Spec} k[x_1, \dots, x_n]/(f_1, \dots, f_r)$  has dimension  $n - r$ . Such a scheme is called a **complete intersection**. In fact, it follows by induction in  $r$  that  $\mathcal{Z}$  is a complete intersection precisely when all its irreducible components have dimension  $\leq n - r$  (in which case they have dimension exactly  $n - r$ ). In particular, if  $r = n$ , this means that the only  $k$ -point of  $\mathcal{Z}$  is the origin, as indicated in Proposition 12.9. Thus the converse of this proposition also holds.

**12.8. Proof of the CST Theorem, Part II.** We are now ready to prove Theorem 12.2. It follows from Proposition 12.10, Lemma 12.1 and Theorem 10.6 that  $\mathbb{C}[V]$  is a free  $\mathbb{C}[V]^G$ -module. Since  $\mathbb{C}[V] = \oplus_{\rho} \text{Hom}_G(\rho, \mathbb{C}[V]) \otimes \rho$ , it follows by Lemma 12.3(ii) that  $\text{Hom}_G(\rho, \mathbb{C}[V])$  is also a free  $\mathbb{C}[V]^G$ -module (as it is graded and projective). Finally, the rank of this module equals

$$\dim_{\mathbb{C}(V)^G}(\mathbb{C}(V)^G \otimes_{\mathbb{C}[V]^G} \text{Hom}_G(\rho, \mathbb{C}[V])) = \dim_{\mathbb{C}(V)^G} \text{Hom}_G(\rho, \mathbb{C}(V)),$$

which equals  $\dim \rho$  by basic Galois theory ( $\mathbb{C}(V)$  is a regular representation of  $G$  over  $\mathbb{C}(V)^G$ ).

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