

# 2.43 ADVANCED THERMODYNAMICS

**Spring Term 2024**

**LECTURE 04**

Room 3-442

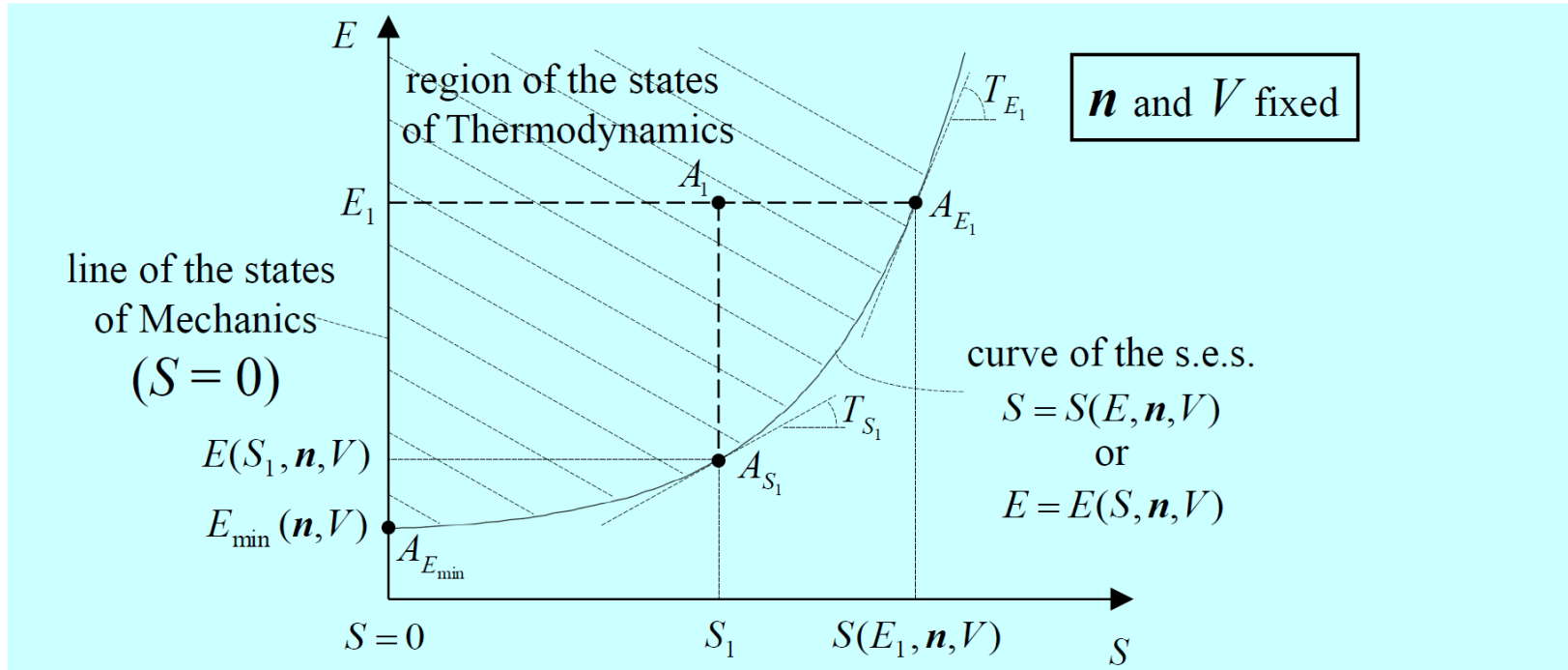
Friday, February 16, 11:00am - 1:00pm

Instructor: Gian Paolo Beretta

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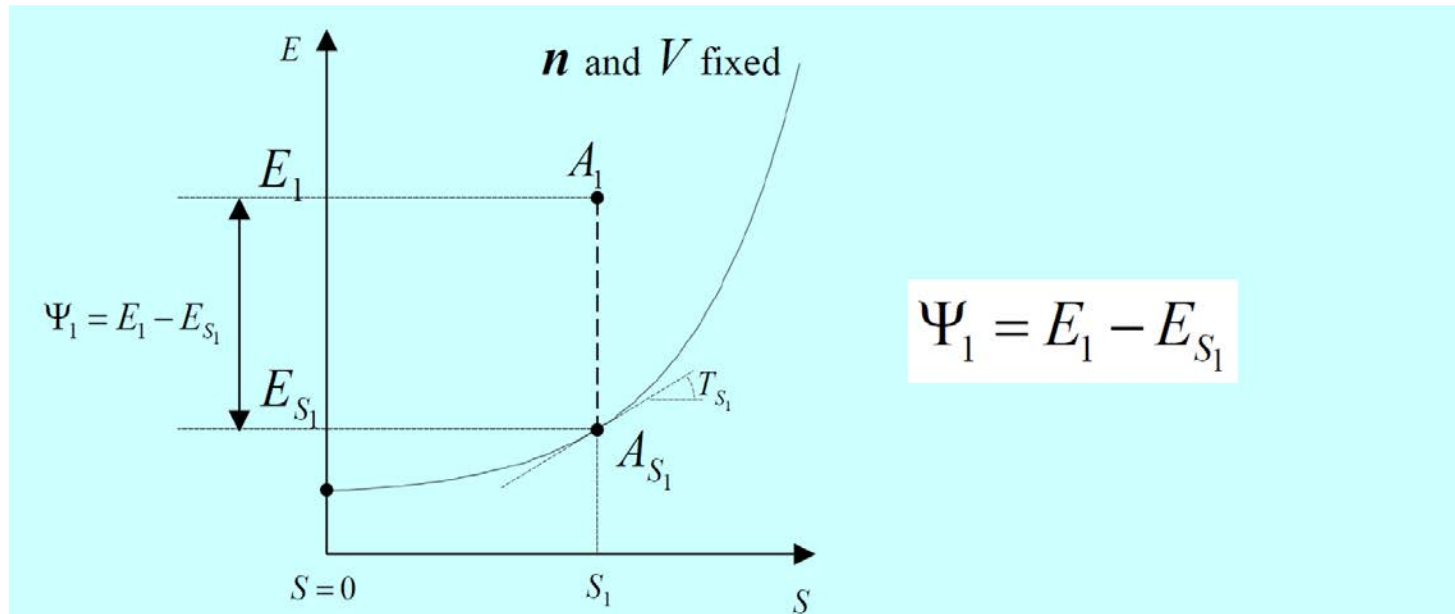
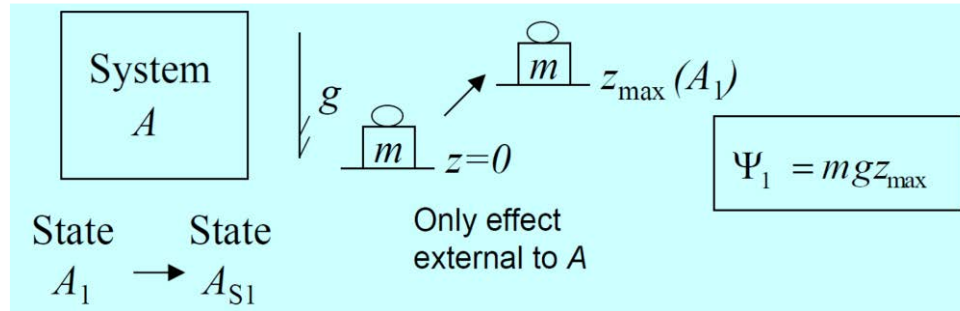
Room 3-351d

Graphical representation of basic concepts on **Energy vs Entropy diagrams:**  
**Representation of notSE states and SE states**



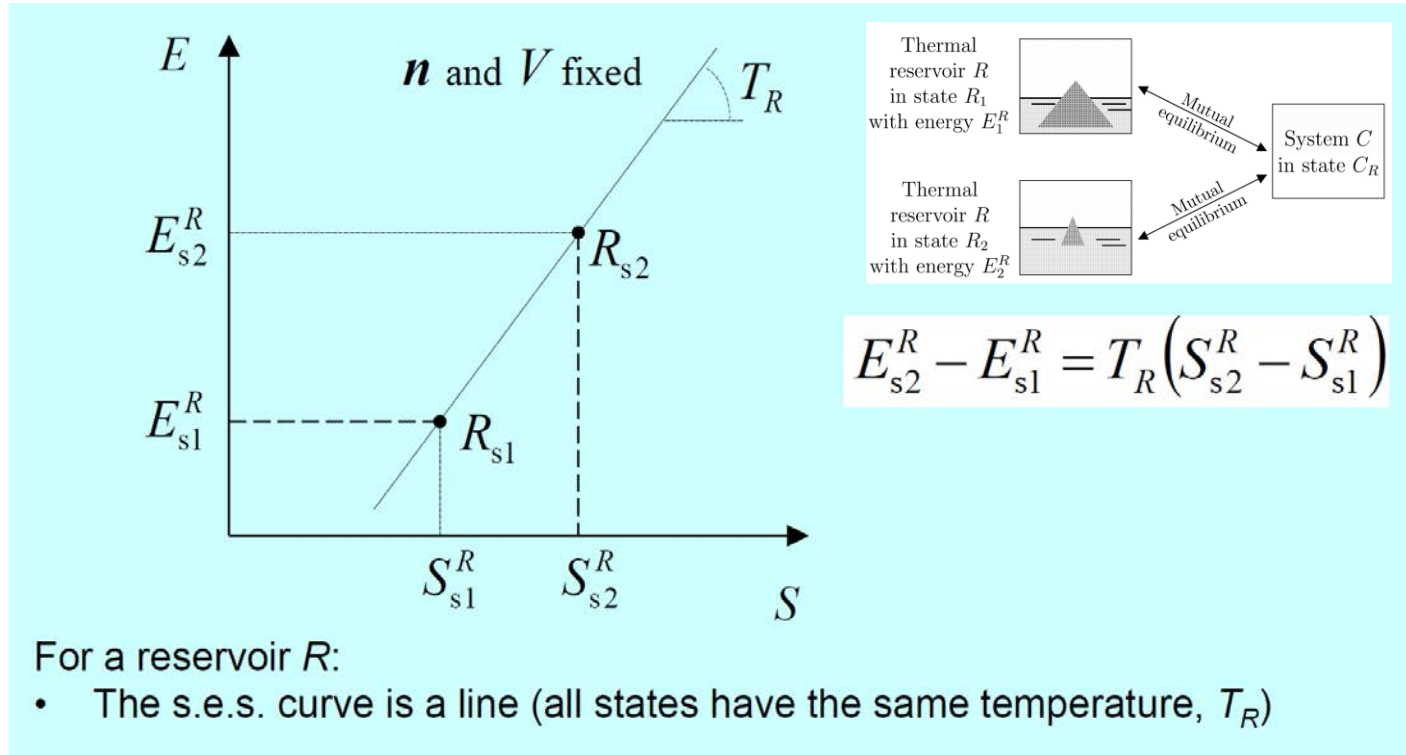
# Graphical representation of basic concepts on **Energy vs Entropy diagrams:**

## **Adiabatic availability of notSE states**



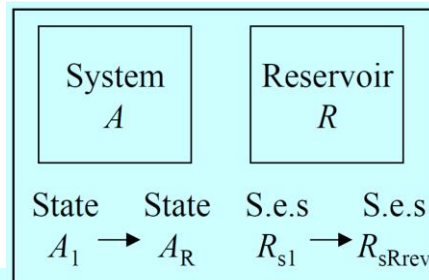
- Adiabatic availability ( $\Psi$ ):** it is the part of the energy of A in a given state  $A_1$  which can be transferred to a weight with no other external effects. It is obtained by means of rev.w.p. which ends in a stable equilibrium state,  $A_{s1}$
- It is zero iff the state is a stable equilibrium state

# Graphical representation of basic concepts on **Energy vs Entropy diagrams:** **notSE and SE states of a thermal reservoir**

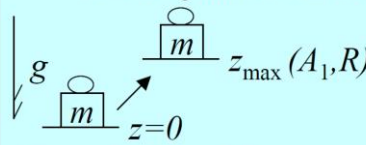


# Graphical representation of basic concepts on **Energy vs Entropy** diagrams:

## Available energy with respect to a thermal reservoir

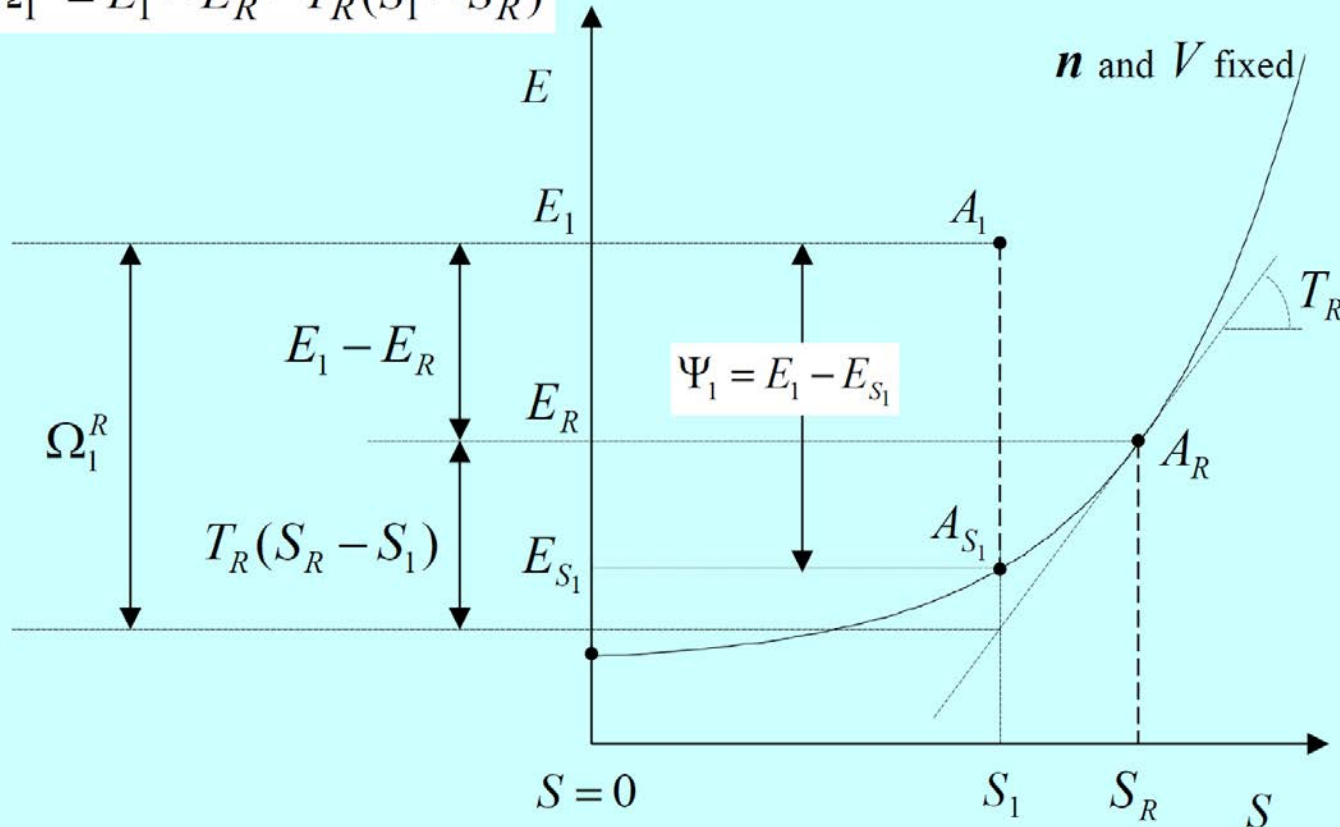


Max weight lift in a std. w.p. for AR:

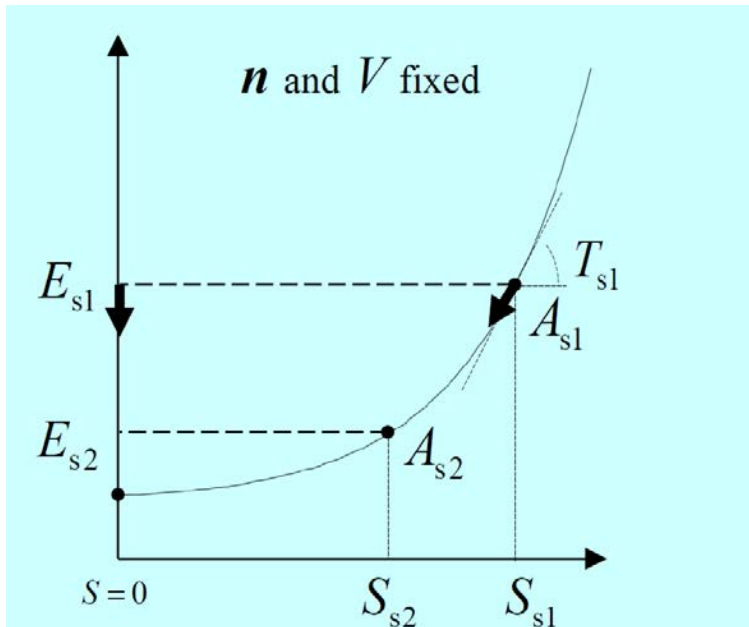


Given state  $A_1$  and the reservoir  $R$ , the **maximum weight lift** obtains when  $A$  ends in state  $A_R$  (mutual equilibrium with  $R$ ) and the standard weight process for  $AR$  is reversible.

$$\Omega_1^R = E_1 - E_R - T_R(S_1 - S_R)$$

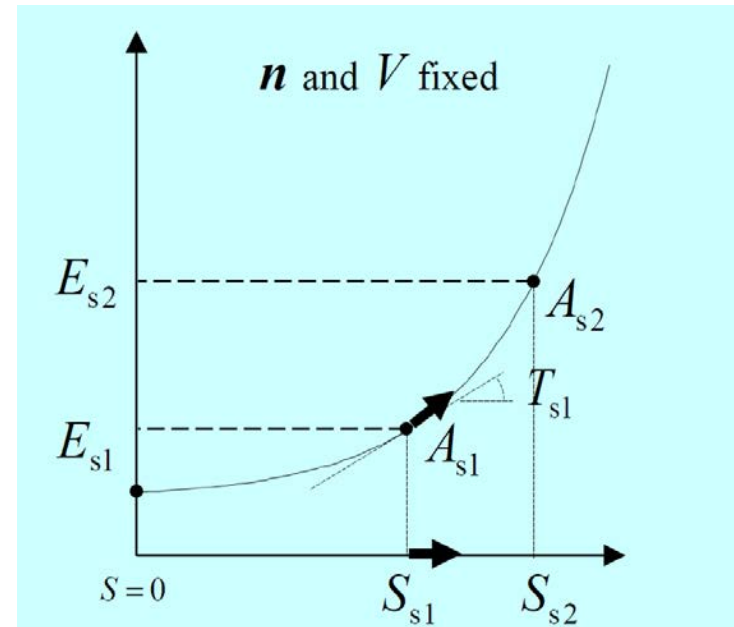


Graphical representation of basic concepts on **Energy vs Entropy diagrams:**  
**a system in a SES cannot**  
**release energy unless...** **receive entropy unless...**



To extract energy from a system in a s.e.s., we must also extract entropy

$$S_{s1} - S_{s2} > (E_{s1} - E_{s2})/T_{s1}$$



To give entropy to a system in a s.e.s., we must also give energy

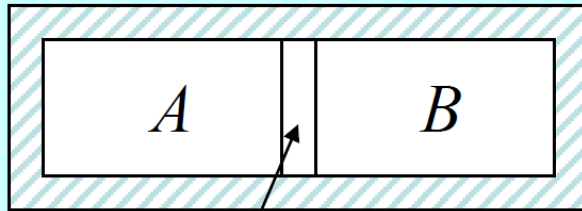
$$E_{s2} - E_{s1} > T_{s1}(S_{s2} - S_{s1})$$

**Review of basic concepts:**

**Necessary conditions for mutual equilibrium**

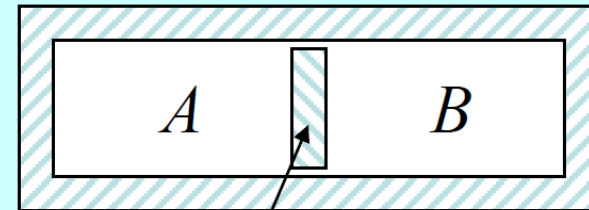
Review of basic concepts: **Consequences of the Maximum Entropy Principle:**  
**Necessary conditions for mutual equilibrium**

Two systems are in *mutual equilibrium* if the respective states are such that the composite system is in a stable equilibrium state.



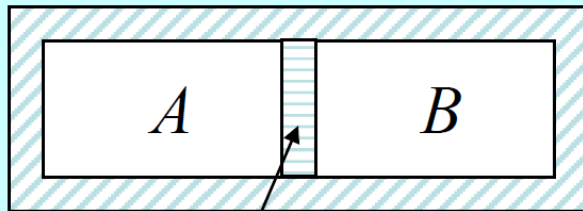
Diathermal wall

- Equality of the **temperatures** of the two systems is a n.c. for m.e. if the two systems can exchange **energy**.



Movable pistone

- Equality of the **pressures** of the two systems is a n.c. for m.e. if the two systems can exchange **volume**.

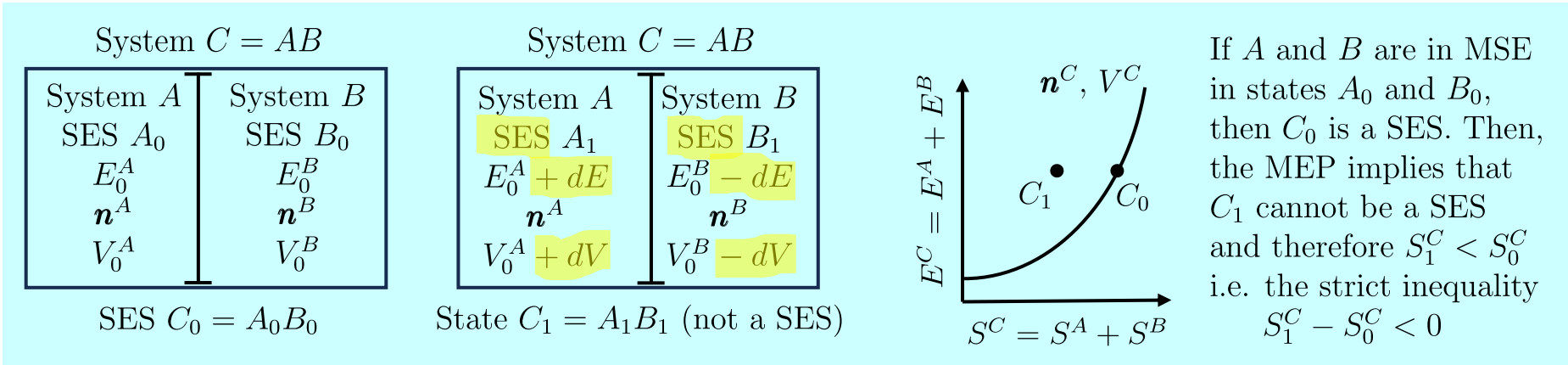


Wall permeable  
to the i-th costituent

- Equality of the **chemical potentials of the *i*-th constituent** in the two systems is a n.c. for m.e. if the two systems can exchange **the *i*-th constituent**.



# Review of basic concepts: Consequences of the Maximum Entropy Principle: Proof of temperature and pressure equality at mutual equilibrium



from entropy additivity

$$S_1^C - S_0^C = (S_1^A + S_1^B) - (S_0^A + S_0^B) = (S_1^A - S_0^A) + (S_1^B - S_0^B)$$

from the fundamental relations for  $A$  and  $B$  and assuming  $dE$  and  $dV$  infinitesimal

$$= \frac{1}{T_0^A} dE + \frac{p_0^A}{T_0^A} dV + \frac{1}{2} d_{E,V}^2 S^A + \dots + \frac{1}{T_0^B} (-dE) + \frac{p_0^B}{T_0^B} (-dV) + \frac{1}{2} d_{E,V}^2 S^B + \dots$$

$$= \underbrace{\left( \frac{1}{T_0^A} - \frac{1}{T_0^B} \right)}_{=0} dE + \underbrace{\left( \frac{p_0^A}{T_0^A} - \frac{p_0^B}{T_0^B} \right)}_{=0} dV + \frac{1}{2} d_{E,V}^2 S^A + \frac{1}{2} d_{E,V}^2 S^B + \dots < 0$$

# Review of basic concepts: Consequences of the Maximum Entropy Principle: Proof of temperature and pressure equality at mutual equilibrium

System $C = AB$		System $C = AB$	
System A	System B	System A	System B
SES $A_0$	SES $B_0$	SES $A_1$	SES $B_1$
$E_0^A$	$E_0^B$	$E_0^A + dE$	$E_0^B - dE$
$\mathbf{n}^A$	$\mathbf{n}^B$	$\mathbf{n}^A$	$\mathbf{n}^B$
$V_0^A$	$V_0^B$	$V_0^A + dV$	$V_0^B - dV$
SES $C_0 = A_0B_0$		State $C_1 = A_1B_1$ (not a SES)	

If  $A$  and  $B$  are in MSE in states  $A_0$  and  $B_0$ , then  $C_0$  is a SES. Then, the MEP implies that  $C_1$  cannot be a SES and therefore  $S_1^C < S_0^C$  i.e. the strict inequality  $S_1^C - S_0^C < 0$

$$\begin{aligned}
 & \text{from entropy additivity} \\
 S_1^C - S_0^C &= (S_1^A + S_1^B) - (S_0^A + S_0^B) = (S_1^A - S_0^A) + (S_1^B - S_0^B) \\
 & \text{from the fundamental relations for } A \text{ and } B \text{ and assuming } dE \text{ and } dV \text{ infinitesimal} \\
 &= \frac{1}{T_0^A} dE + \frac{p_0^A}{T_0^A} dV + \frac{1}{2} d_{E,V}^2 S^A + \dots + \frac{1}{T_0^B} (-dE) + \frac{p_0^B}{T_0^B} (-dV) + \frac{1}{2} d_{E,V}^2 S^B + \dots \\
 &= \underbrace{\left( \frac{1}{T_0^A} - \frac{1}{T_0^B} \right)}_{=0} dE + \underbrace{\left( \frac{p_0^A}{T_0^A} - \frac{p_0^B}{T_0^B} \right)}_{=0} dV + \frac{1}{2} d_{E,V}^2 S^A + \frac{1}{2} d_{E,V}^2 S^B + \dots < 0
 \end{aligned}$$

For the inequality to hold for all choices of  $dE$  and  $dV$ , the terms in brackets must be zero. Therefore, the second-order differentials must be non-positive, i.e., the fundamental relation is concave in  $E$  and  $V$

$$d_{E,V}^2 S = \frac{\partial^2 S}{\partial E^2} (dE)^2 + 2 \frac{\partial^2 S}{\partial E \partial V} dE dV + \frac{\partial^2 S}{\partial V^2} (dV)^2 \leq 0$$

# Review of basic concepts: Consequences of the Maximum Entropy Principle: Proof of temperature and pressure equality at mutual equilibrium

System $C = AB$		System $C = AB$	
System A	System B	System A	System B
SES $A_0$	SES $B_0$	SES $A_1$	SES $B_1$
$E_0^A$	$E_0^B$	$E_0^A + dE$	$E_0^B - dE$
$n^A$	$n^B$	$n^A$	$n^B$
$V_0^A$	$V_0^B$	$V_0^A + dV$	$V_0^B - dV$
SES $C_0 = A_0B_0$		State $C_1 = A_1B_1$ (not a SES)	

If  $A$  and  $B$  are in MSE in states  $A_0$  and  $B_0$ , then  $C_0$  is a SES. Then, the MEP implies that  $C_1$  cannot be a SES and therefore  $S_1^C < S_0^C$  i.e. the strict inequality  $S_1^C - S_0^C < 0$

from entropy additivity

$$S_1^C - S_0^C = (S_1^A + S_1^B) - (S_0^A + S_0^B) = (S_1^A - S_0^A) + (S_1^B - S_0^B)$$

from the fundamental relations for  $A$  and  $B$  and assuming  $dE$  and  $dV$  infinitesimal

$$= \frac{1}{T_0^A} dE + \frac{p_0^A}{T_0^A} dV + \frac{1}{2} d_{E,V}^2 S^A + \dots + \frac{1}{T_0^B} (-dE) + \frac{p_0^B}{T_0^B} (-dV) + \frac{1}{2} d_{E,V}^2 S^B + \dots$$

$$= \underbrace{\left( \frac{1}{T_0^A} - \frac{1}{T_0^B} \right)}_{=0} dE + \underbrace{\left( \frac{p_0^A}{T_0^A} - \frac{p_0^B}{T_0^B} \right)}_{=0} dV + \frac{1}{2} d_{E,V}^2 S^A + \frac{1}{2} d_{E,V}^2 S^B + \dots < 0$$

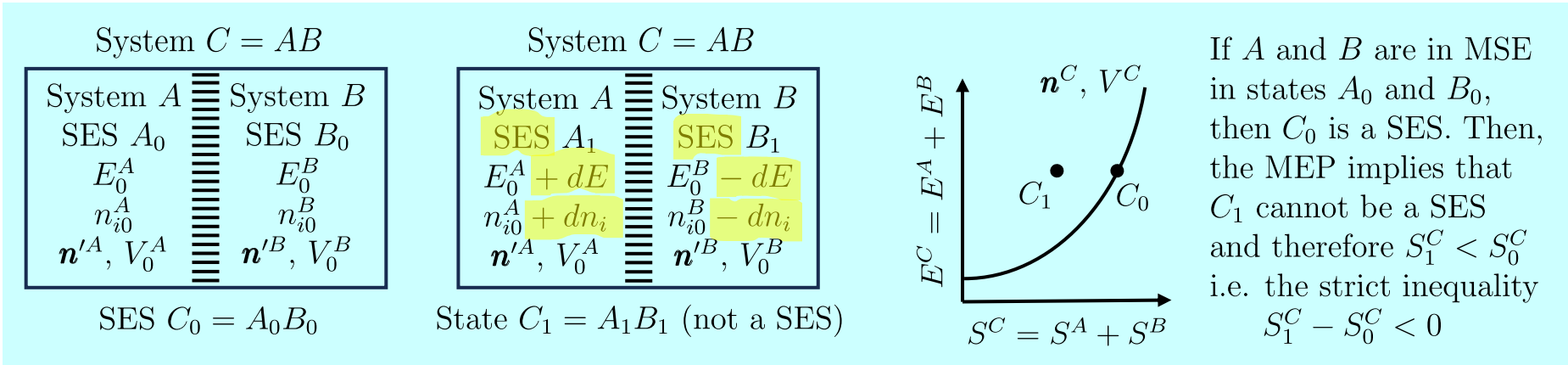
If  $A$  and  $B$  can exchange energy and volume, they can be in MSE in states  $A_0$  and  $B_0$  only if

$$\Rightarrow \begin{cases} T_0^A = T_0^B \\ p_0^A = p_0^B \end{cases}$$

For the inequality to hold for all choices of  $dE$  and  $dV$ , the terms in brackets must be zero. Therefore, the second-order differentials must be non-positive, i.e., the fundamental relation is concave in  $E$  and  $V$

$$d_{E,V}^2 S = \frac{\partial^2 S}{\partial E^2} (dE)^2 + 2 \frac{\partial^2 S}{\partial E \partial V} dE dV + \frac{\partial^2 S}{\partial V^2} (dV)^2 \leq 0 \Rightarrow \begin{cases} \frac{\partial^2 S}{\partial E^2} \leq 0 & \frac{\partial^2 S}{\partial V^2} \leq 0 \\ \frac{\partial^2 S}{\partial E^2} \frac{\partial^2 S}{\partial V^2} - \left[ \frac{\partial^2 S}{\partial E \partial V} \right]^2 \leq 0 \end{cases}$$

# Review of basic concepts: Consequences of the Maximum Entropy Principle: Proof of temperature and potential equality at mutual equilibrium



from entropy additivity

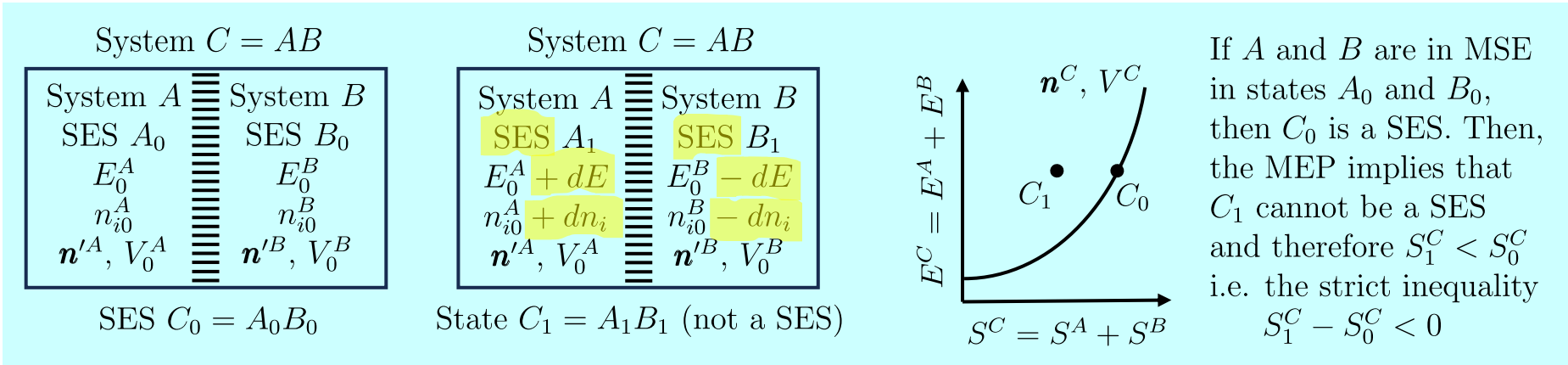
$$S_1^C - S_0^C = (S_1^A + S_1^B) - (S_0^A + S_0^B) = (S_1^A - S_0^A) + (S_1^B - S_0^B)$$

from the fundamental relations for  $A$  and  $B$  and assuming  $dE$  and  $dn_i$  infinitesimal

$$= \frac{1}{T_0^A} dE - \frac{\mu_{i0}^A}{T_0^A} dn_i + \frac{1}{2} d_{E,n_i}^2 S^A + \dots + \frac{1}{T_0^B} (-dE) - \frac{\mu_{i0}^B}{T_0^B} (-dn_i) + \frac{1}{2} d_{E,n_i}^2 S^B + \dots$$

$$= \underbrace{\left( \frac{1}{T_0^A} - \frac{1}{T_0^B} \right)}_{=0} dE + \underbrace{\left( \frac{\mu_{i0}^B}{T_0^B} - \frac{\mu_{i0}^A}{T_0^A} \right)}_{=0} dn_i + \frac{1}{2} d_{E,n_i}^2 S^A + \frac{1}{2} d_{E,n_i}^2 S^B + \dots < 0$$

# Review of basic concepts: Consequences of the Maximum Entropy Principle: Proof of temperature and potential equality at mutual equilibrium



from entropy additivity

$$S_1^C - S_0^C = (S_1^A + S_1^B) - (S_0^A + S_0^B) = (S_1^A - S_0^A) + (S_1^B - S_0^B)$$

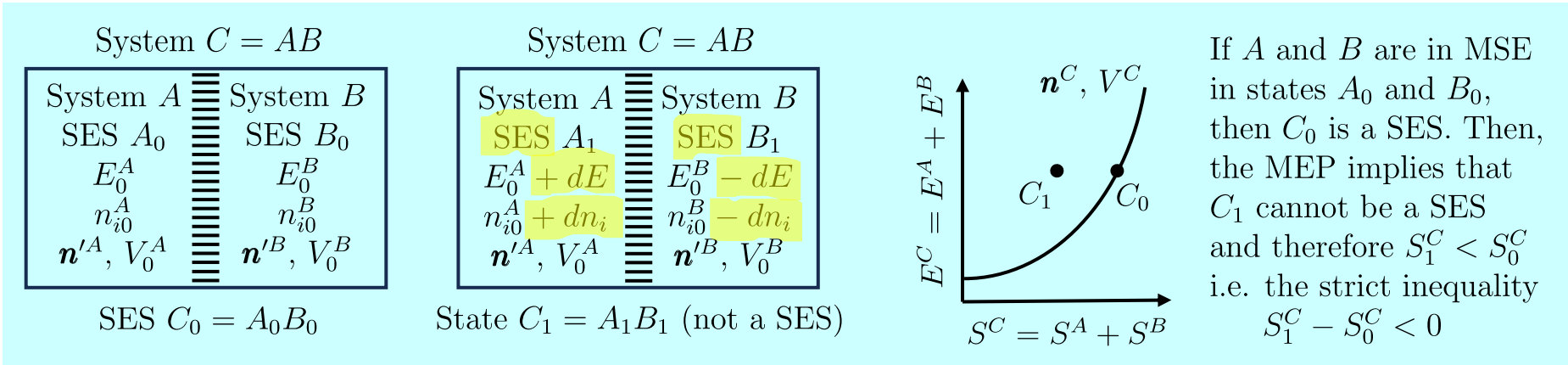
from the fundamental relations for  $A$  and  $B$  and assuming  $dE$  and  $dn_i$  infinitesimal

$$\begin{aligned} &= \frac{1}{T_0^A} dE - \frac{\mu_{i0}^A}{T_0^A} dn_i + \frac{1}{2} d_{E,n_i}^2 S^A + \dots + \frac{1}{T_0^B} (-dE) - \frac{\mu_{i0}^B}{T_0^B} (-dn_i) + \frac{1}{2} d_{E,n_i}^2 S^B + \dots \\ &= \underbrace{\left( \frac{1}{T_0^A} - \frac{1}{T_0^B} \right)}_{=0} dE + \underbrace{\left( \frac{\mu_{i0}^B}{T_0^B} - \frac{\mu_{i0}^A}{T_0^A} \right)}_{=0} dn_i + \frac{1}{2} d_{E,n_i}^2 S^A + \frac{1}{2} d_{E,n_i}^2 S^B + \dots < 0 \end{aligned}$$

For the inequality to hold for all choices of  $dE$  and  $dn_i$ , the terms in brackets must be zero. Therefore, the second-order differentials must be non-positive, i.e., the fundamental relation is concave in  $E$  and  $n_i$

$$d_{E,n_i}^2 S = \frac{\partial^2 S}{\partial E^2} (dE)^2 + 2 \frac{\partial^2 S}{\partial E \partial n_i} dE dn_i + \frac{\partial^2 S}{\partial n_i^2} (dn_i)^2 \leq 0$$

# Review of basic concepts: Consequences of the Maximum Entropy Principle: Proof of temperature and potential equality at mutual equilibrium



from entropy additivity

$$S_1^C - S_0^C = (S_1^A + S_1^B) - (S_0^A + S_0^B) = (S_1^A - S_0^A) + (S_1^B - S_0^B)$$

from the fundamental relations for  $A$  and  $B$  and assuming  $dE$  and  $dn_i$  infinitesimal

$$= \frac{1}{T_0^A} dE - \frac{\mu_{i0}^A}{T_0^A} dn_i + \frac{1}{2} d_{E,n_i}^2 S^A + \dots + \frac{1}{T_0^B} (-dE) - \frac{\mu_{i0}^B}{T_0^B} (-dn_i) + \frac{1}{2} d_{E,n_i}^2 S^B + \dots$$

$$= \underbrace{\left( \frac{1}{T_0^A} - \frac{1}{T_0^B} \right)}_{=0} dE + \underbrace{\left( \frac{\mu_{i0}^B}{T_0^B} - \frac{\mu_{i0}^A}{T_0^A} \right)}_{=0} dn_i + \frac{1}{2} d_{E,n_i}^2 S^A + \frac{1}{2} d_{E,n_i}^2 S^B + \dots < 0$$

$$\Rightarrow \begin{cases} T_0^A = T_0^B \\ \mu_{i0}^A = \mu_{i0}^B \end{cases}$$

For the inequality to hold for all choices of  $dE$  and  $dn_i$ , the terms in brackets must be zero. Therefore, the second-order differentials must be non-positive, i.e., the fundamental relation is concave in  $E$  and  $n_i$

$$d_{E,n_i}^2 S = \frac{\partial^2 S}{\partial E^2} (dE)^2 + 2 \frac{\partial^2 S}{\partial E \partial n_i} dE dn_i + \frac{\partial^2 S}{\partial n_i^2} (dn_i)^2 \leq 0 \Rightarrow \begin{cases} \frac{\partial^2 S}{\partial E^2} \leq 0 & \frac{\partial^2 S}{\partial n_i^2} \leq 0 \\ \frac{\partial^2 S}{\partial E^2} \frac{\partial^2 S}{\partial n_i^2} - \left[ \frac{\partial^2 S}{\partial E \partial n_i} \right]^2 \leq 0 \end{cases}$$

# Review of basic concepts: **Consequences of the Maximum Entropy Principle:**

## **Concavity of the fundamental relation**

In a similar way, we can prove that the fundamental relation is concave in all its independent variables, i.e., that in any SES **the Hessian of the fundamental relation**  $S = S(E, \mathbf{n}, V)$  is a **negative semidefinite** matrix

$$\text{Hessian}(S) = \begin{bmatrix} \frac{\partial^2 S}{\partial E^2} & \frac{\partial^2 S}{\partial E \partial n_1} & \cdots & \frac{\partial^2 S}{\partial E \partial n_r} & \frac{\partial^2 S}{\partial E \partial V} \\ \frac{\partial^2 S}{\partial n_1 \partial E} & \frac{\partial^2 S}{\partial n_1^2} & \cdots & \frac{\partial^2 S}{\partial n_1 \partial n_r} & \frac{\partial^2 S}{\partial n_1 \partial V} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \frac{\partial^2 S}{\partial n_r \partial E} & \frac{\partial^2 S}{\partial n_r \partial n_1} & \cdots & \frac{\partial^2 S}{\partial n_r^2} & \frac{\partial^2 S}{\partial n_r \partial V} \\ \frac{\partial^2 S}{\partial V \partial E} & \frac{\partial^2 S}{\partial V \partial n_1} & \cdots & \frac{\partial^2 S}{\partial V \partial n_r} & \frac{\partial^2 S}{\partial V^2} \end{bmatrix}$$

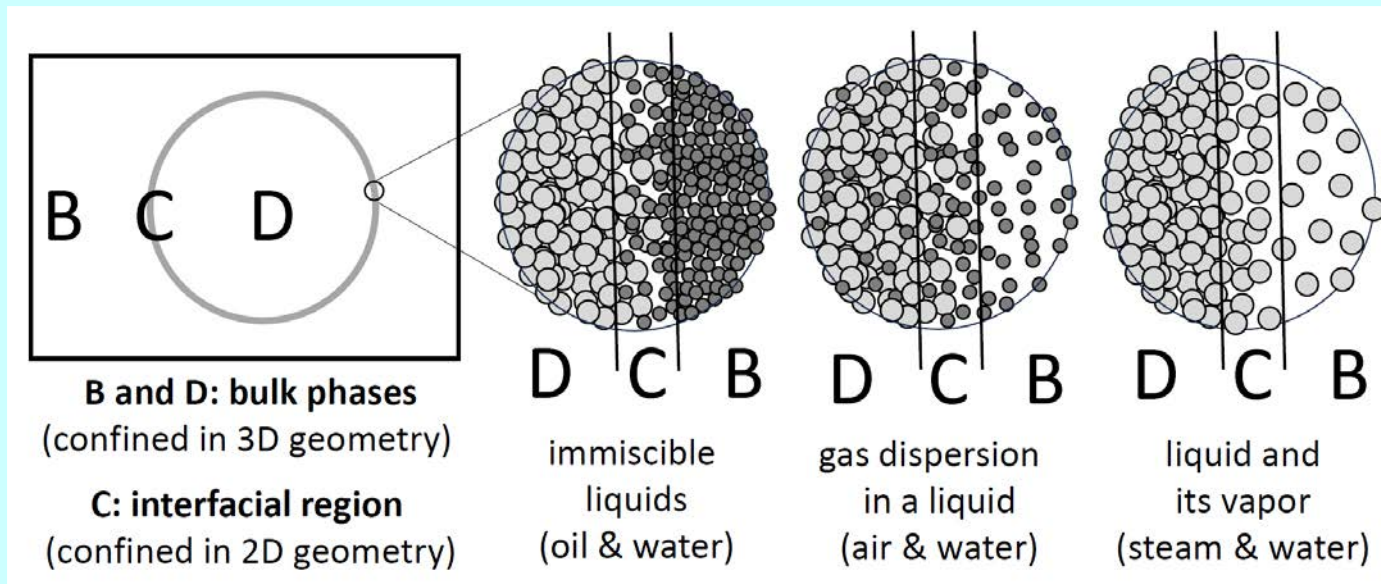
The full second-order differential of  $S = S(E, \mathbf{n}, V)$  is

$$d^2 S_{E, \mathbf{n}, V} = (dE, dn_1, \dots, dn_r, dV) \cdot \text{Hessian}(S) \cdot (dE, dn_1, \dots, dn_r, dV)^T \leq 0$$

From these properties it is possible to prove a number of general inequalities that must be satisfied by stable equilibrium properties.

# Review of basic concepts: Consequences of the Maximum Entropy Principle:

## Surface tension and Young-Laplace equation



Mutual equilibrium between  $B$ ,  $C$ ,  $D$  where:

$$S^B = S^B(E^B, V^B, \mathbf{n}^B) \quad \left( \frac{\partial S^B}{\partial V^B} \right)_{E^B, \mathbf{n}^B} = \frac{p^B}{T^B} \quad \text{or} \quad p^B = - \left( \frac{\partial E^B}{\partial V^B} \right)_{S^B, \mathbf{n}^B}$$

$$S^C = S^C(E^C, A^C, \mathbf{n}^C) \quad \left( \frac{\partial S^C}{\partial A^C} \right)_{E^C, \mathbf{n}^C} = - \frac{\sigma^C}{T^C} \quad \text{or} \quad \sigma^C = \left( \frac{\partial E^C}{\partial A^C} \right)_{S^C, \mathbf{n}^C} \quad \text{surface tension}$$

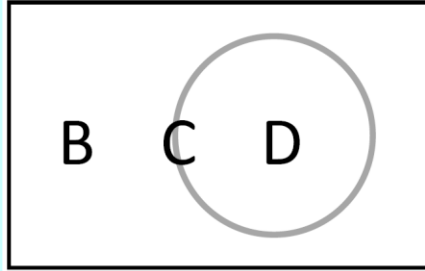
$$S^D = S^D(E^D, V^D, \mathbf{n}^D) \quad \left( \frac{\partial S^D}{\partial V^D} \right)_{E^D, \mathbf{n}^D} = \frac{p^D}{T^D} \quad \text{or} \quad p^D = - \left( \frac{\partial E^D}{\partial V^D} \right)_{S^D, \mathbf{n}^D}$$



# Review of basic concepts: Consequences of the Maximum Entropy Principle:

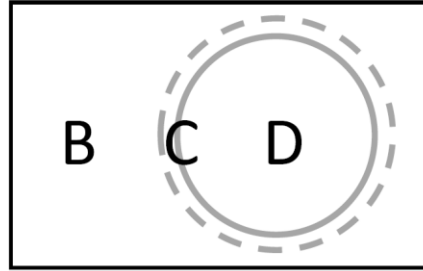
## Surface tension and Young-Laplace equation

System  $F = BCD$

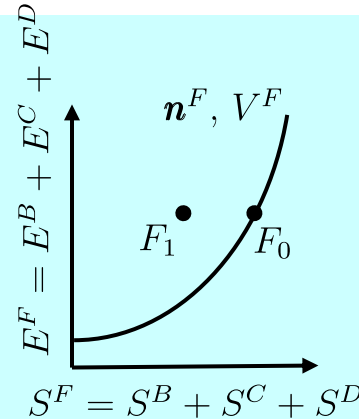


SES  $F_0 = B_0C_0D_0$

System  $F = BCD$



State  $F_1 = B_1C_1D_1$  (not a SES)



If  $B, C, D$  are in MSE in states  $B_0, C_0, D_0$ , then  $F_0$  is a SES. Then, the MEP implies that  $F_1$  cannot be a SES and therefore  $S_1^F < S_0^F$  i.e. the strict inequality  $S_1^F - S_0^F < 0$

from entropy additivity

$$S_1^F - S_0^F = (S_1^B + S_1^C + S_1^D) - (S_0^B + S_0^C + S_0^D) = (S_1^B - S_0^B) + (S_1^C - S_0^C) + (S_1^D - S_0^D)$$

from the fundamental relations for  $B, C, D$ , assuming infinitesimal  $dE$ 's,  $dV$ 's,  $dA$

$$= \frac{1}{T_0^B} dE^B + \frac{p_0^B}{T_0^B} dV^B + \dots + \frac{1}{T_0^C} dE^C - \frac{\sigma_0^C}{T_0^C} dA^C + \dots + \frac{1}{T_0^D} dE^D + \frac{p_0^D}{T_0^D} dV^D + \dots$$

assuming  $T_0^B = T_0^C = T_0^D = T_0$ ,  $dE^B + dE^C + dE^D = 0$

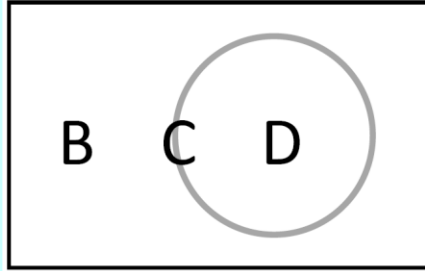
$\mu_{i0}^B = \mu_{i0}^C = \mu_{i0}^D = \mu_{i0}$ ,  $dn_i^B + dn_i^C + dn_i^D = 0 \forall i$  and using  $dV^B = -dV^D$

$$= \frac{1}{T_0} \underbrace{[(p_0^D - p_0^B) dV^D - \sigma_0^C dA^C]}_{= 0} + \dots < 0$$

# Review of basic concepts: Consequences of the Maximum Entropy Principle:

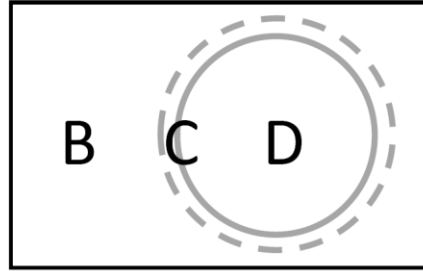
## Surface tension and Young-Laplace equation

System  $F = BCD$

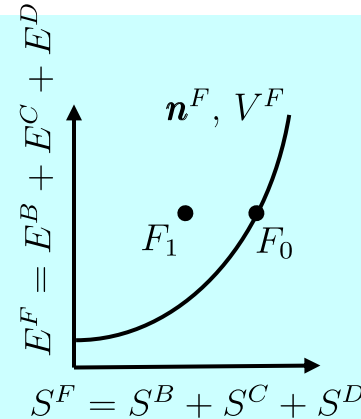


SES  $F_0 = B_0C_0D_0$

System  $F = BCD$



State  $F_1 = B_1C_1D_1$  (not a SES)



If  $B, C, D$  are in MSE in states  $B_0, C_0, D_0$ , then  $F_0$  is a SES. Then, the MEP implies that  $F_1$  cannot be a SES and therefore  $S_1^F < S_0^F$  i.e. the strict inequality  $S_1^F - S_0^F < 0$

from entropy additivity

$$S_1^F - S_0^F = (S_1^B + S_1^C + S_1^D) - (S_0^B + S_0^C + S_0^D) = (S_1^B - S_0^B) + (S_1^C - S_0^C) + (S_1^D - S_0^D)$$

from the fundamental relations for  $B, C, D$ , assuming infinitesimal  $dE$ 's,  $dV$ 's,  $dA$

$$= \frac{1}{T_0^B} dE^B + \frac{p_0^B}{T_0^B} dV^B + \dots + \frac{1}{T_0^C} dE^C - \frac{\sigma_0^C}{T_0^C} dA^C + \dots + \frac{1}{T_0^D} dE^D + \frac{p_0^D}{T_0^D} dV^D + \dots$$

$$= \frac{1}{T_0} \underbrace{[(p_0^D - p_0^B) dV^D - \sigma_0^C dA^C]}_{=0} + \dots < 0$$

Let us first see what we get if we assume that  $C$  has **spherical shape of radius  $R$** . For an infinitesimal displacement  $d\epsilon = dR$ ,

$$A^C = 4\pi R^2 \quad dV^D = 4\pi R^2 d\epsilon \quad dA^C = 4\pi(R + d\epsilon)^2 - 4\pi R^2 \approx 8\pi R d\epsilon$$

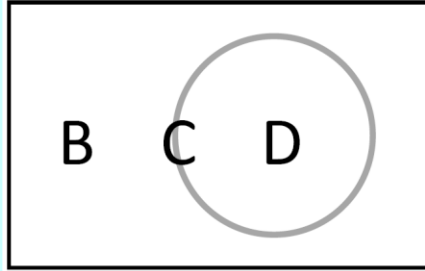
$$\underbrace{[(p_0^D - p_0^B) 4\pi R^2 - \sigma_0^C 8\pi R]}_{=0} d\epsilon = 0 \quad \forall d\epsilon$$

$$\Rightarrow p_0^D - p_0^B = \frac{2\sigma_0^C}{R}$$

# Review of basic concepts: Consequences of the Maximum Entropy Principle:

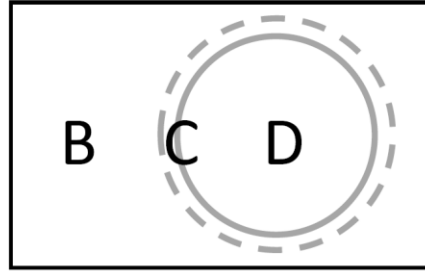
## Surface tension and Young-Laplace equation

System  $F = BCD$

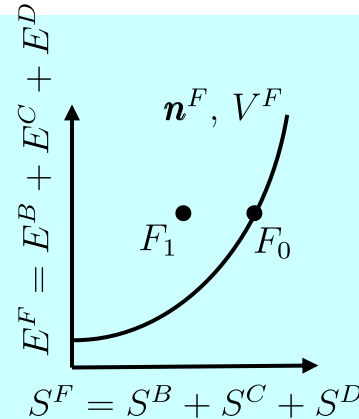


SES  $F_0 = B_0C_0D_0$

System  $F = BCD$



State  $F_1 = B_1C_1D_1$  (not a SES)



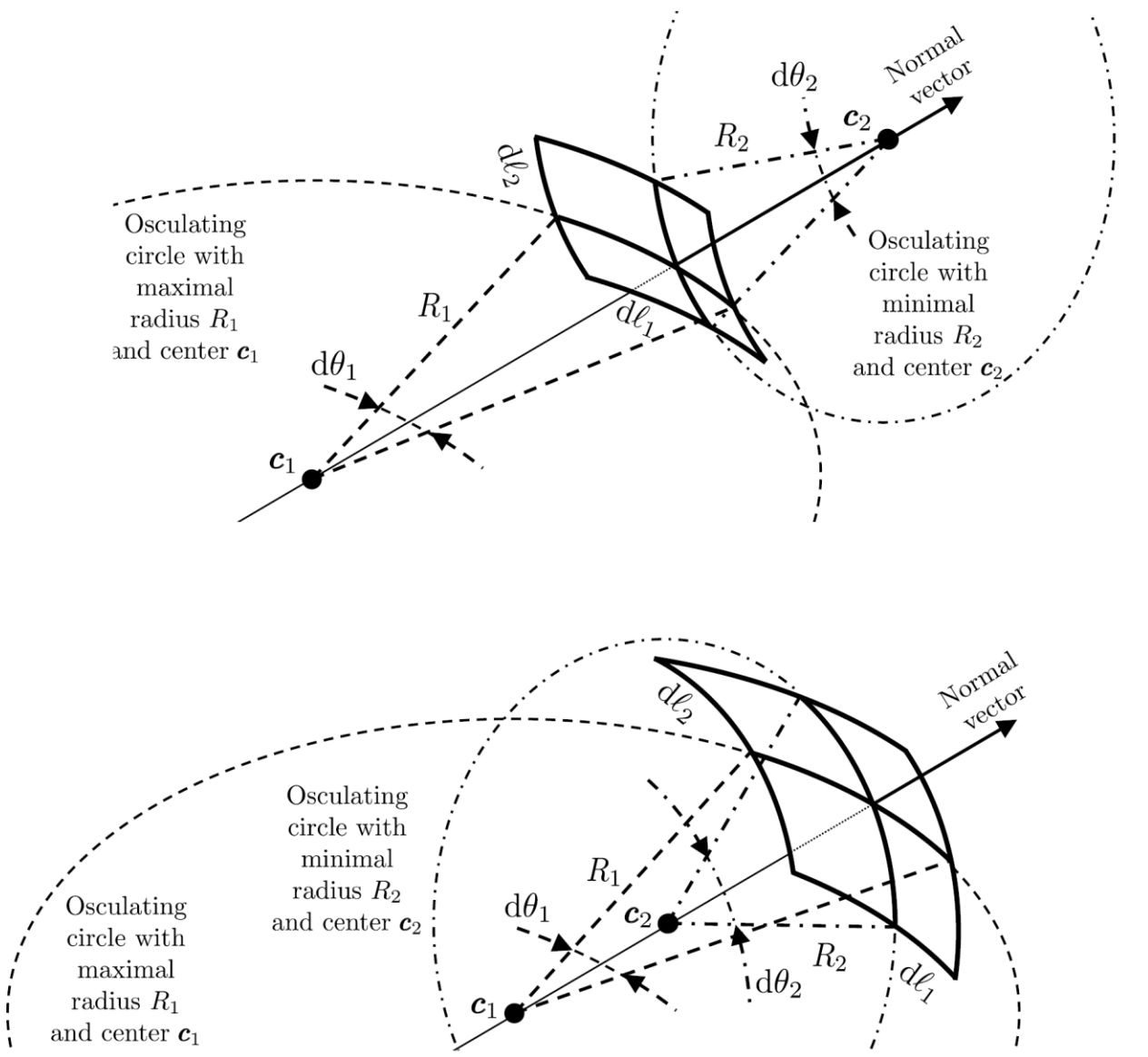
If  $B, C, D$  are in MSE in states  $B_0, C_0, D_0$ , then  $F_0$  is a SES. Then, the MEP implies that  $F_1$  cannot be a SES and therefore  $S_1^F < S_0^F$  i.e. the strict inequality  $S_1^F - S_0^F < 0$

$$\begin{aligned}
 S_1^F - S_0^F &= (S_1^B + S_1^C + S_1^D) - (S_0^B + S_0^C + S_0^D) = (S_1^B - S_0^B) + (S_1^C - S_0^C) + (S_1^D - S_0^D) \\
 &\text{from the fundamental relations for } B, C, D, \text{ assuming infinitesimal } dE\text{'s, } dV\text{'s, } dA \\
 &= \frac{1}{T_0^B} dE^B + \frac{p_0^B}{T_0^B} dV^B + \dots + \frac{1}{T_0^C} dE^C - \frac{\sigma_0^C}{T_0^C} dA^C + \dots + \frac{1}{T_0^D} dE^D + \frac{p_0^D}{T_0^D} dV^D + \dots \\
 &= \frac{1}{T_0} \underbrace{[(p_0^D - p_0^B) dV^D - \sigma_0^C dA^C]}_{=0} + \dots < 0
 \end{aligned}$$

Let us assume instead that  $C$  has **cylindrical shape of radius  $R$**  and length  $L$ . For an infinitesimal displacement  $d\epsilon = dR$  keeping  $L$  fixed,

$$\begin{aligned}
 A^C = 2\pi RL \quad dV^D = 2\pi RL d\epsilon \quad dA^C = 2\pi(R + d\epsilon)L - 2\pi RL = 2\pi L d\epsilon \\
 \underbrace{[(p_0^D - p_0^B) 2\pi R - \sigma_0^C 2\pi]}_{=0} L d\epsilon = 0 \quad \forall d\epsilon \quad \Rightarrow \quad p_0^D - p_0^B = \frac{\sigma_0^C}{R}
 \end{aligned}$$

# Review of basic concepts: principal radii of curvature



# Review of basic concepts: principal radii of curvature and area-to-volume change upon surface displacement

$$dA' = dl'_1 dl'_2 = (R_1 + d\varepsilon)(R_2 - d\varepsilon) d\theta_1 d\theta_2$$

$$dA = dl_1 dl_2 = R_1 R_2 d\theta_1 d\theta_2$$

$$\delta A = dA' - dA = (-R_1 + R_2) d\varepsilon d\theta_1 d\theta_2$$

$$\delta V = dA d\varepsilon = R_1 R_2 d\varepsilon d\theta_1 d\theta_2$$

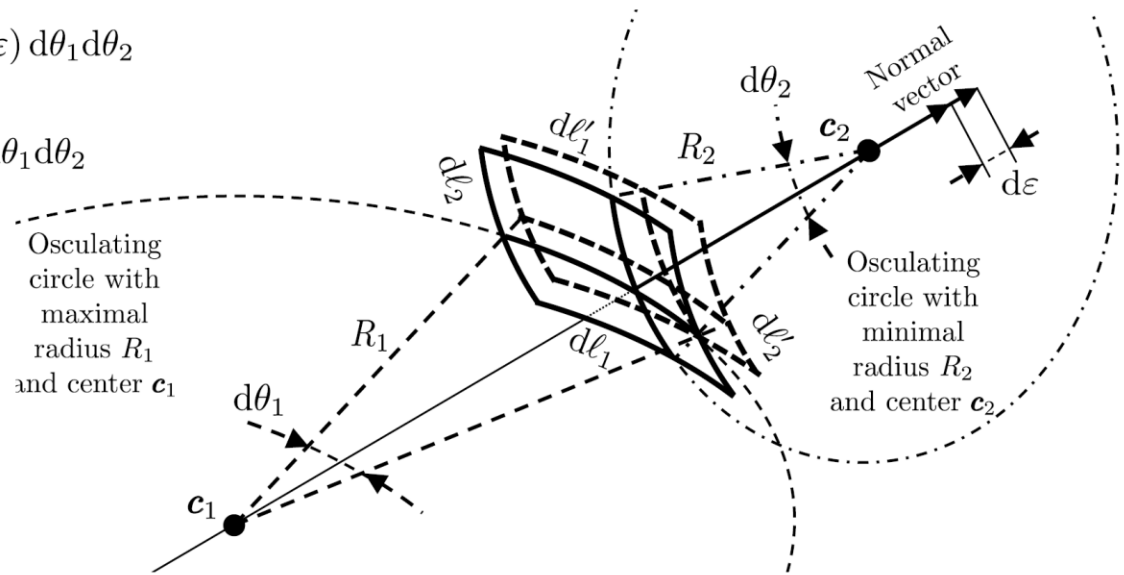
$$\frac{\delta A}{\delta V} = \frac{-R_1 + R_2}{R_1 R_2} = \frac{1}{R_1} - \frac{1}{R_2}$$

$$dl_1 = R_1 d\theta_1$$

$$dl_2 = R_2 d\theta_2$$

$$dl'_1 = (R_1 + d\varepsilon) d\theta_1$$

$$dl'_2 = (R_2 - d\varepsilon) d\theta_2$$



$$dA' = dl'_1 dl'_2 = (R_1 + d\varepsilon)(R_2 + d\varepsilon) d\theta_1 d\theta_2$$

$$dA = dl_1 dl_2 = R_1 R_2 d\theta_1 d\theta_2$$

$$\delta A = dA' - dA = (R_1 + R_2) d\varepsilon d\theta_1 d\theta_2$$

$$\delta V = dA d\varepsilon = R_1 R_2 d\varepsilon d\theta_1 d\theta_2$$

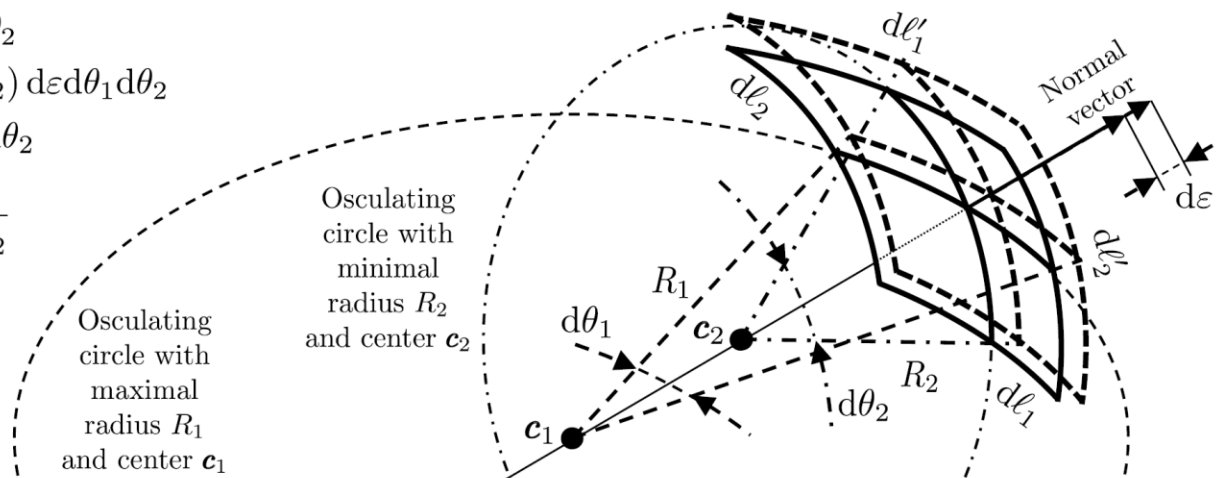
$$\frac{\delta A}{\delta V} = \frac{R_1 + R_2}{R_1 R_2} = \frac{1}{R_1} + \frac{1}{R_2}$$

$$dl_1 = R_1 d\theta_1$$

$$dl_2 = R_2 d\theta_2$$

$$dl'_1 = (R_1 + d\varepsilon) d\theta_1$$

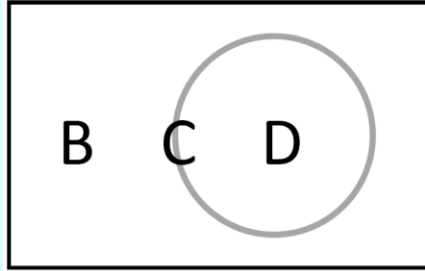
$$dl'_2 = (R_2 + d\varepsilon) d\theta_2$$



# Review of basic concepts: Consequences of the Maximum Entropy Principle:

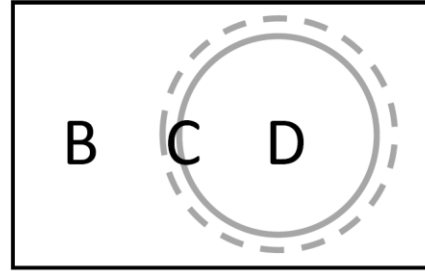
## Surface tension and Young-Laplace equation

System  $F = BCD$

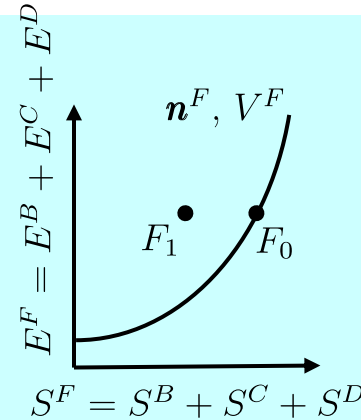


SES  $F_0 = B_0C_0D_0$

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$$S_1^F - S_0^F = (S_1^B + S_1^C + S_1^D) - (S_0^B + S_0^C + S_0^D) = (S_1^B - S_0^B) + (S_1^C - S_0^C) + (S_1^D - S_0^D)$$

from the fundamental relations for  $B, C, D$ , assuming infinitesimal  $dE$ 's,  $dV$ 's,  $dA$

$$= \frac{1}{T_0^B} dE^B + \frac{p_0^B}{T_0^B} dV^B + \dots + \frac{1}{T_0^C} dE^C - \frac{\sigma_0^C}{T_0^C} dA^C + \dots + \frac{1}{T_0^D} dE^D + \frac{p_0^D}{T_0^D} dV^D + \dots$$

$$= \frac{1}{T_0} \underbrace{[(p_0^D - p_0^B) dV^D - \sigma_0^C dA^C]}_{=0} + \dots < 0$$

**More generally**, assuming small local displacement  $d\epsilon = d\epsilon|_{\theta_1, \theta_2}$  (see following slides),

$$A^C = \iint_C R_1 R_2 d\theta_1 d\theta_2 \quad dV^D = \iint_C R_1 R_2 d\epsilon d\theta_1 d\theta_2$$

$$dA^C = \iint_C [(R_1 + d\epsilon)(R_2 \pm d\epsilon) - R_1 R_2] d\theta_1 d\theta_2 \approx \iint_C (\pm R_1 + R_2) d\epsilon d\theta_1 d\theta_2$$

$$\iint_C [(p_0^D - p_0^B) R_1 R_2 - \sigma_0^C (\pm R_1 + R_2)]_{\theta_1, \theta_2} d\epsilon|_{\theta_1, \theta_2} d\theta_1 d\theta_2 = 0 \quad \forall d\epsilon|_{\theta_1, \theta_2}$$

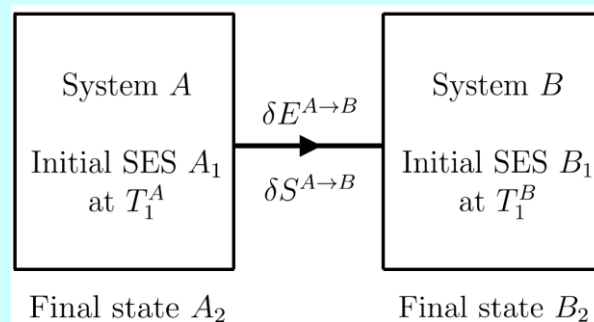
$$p_0^D - p_0^B = \sigma_0^C \left[ \frac{1}{R_1} \pm \frac{1}{R_2} \right]$$

↑↑

$$\Rightarrow \left[ \frac{1}{R_1} \pm \frac{1}{R_2} \right]_{\theta_1, \theta_2} = \frac{p_0^D - p_0^B}{\sigma_0^C}$$

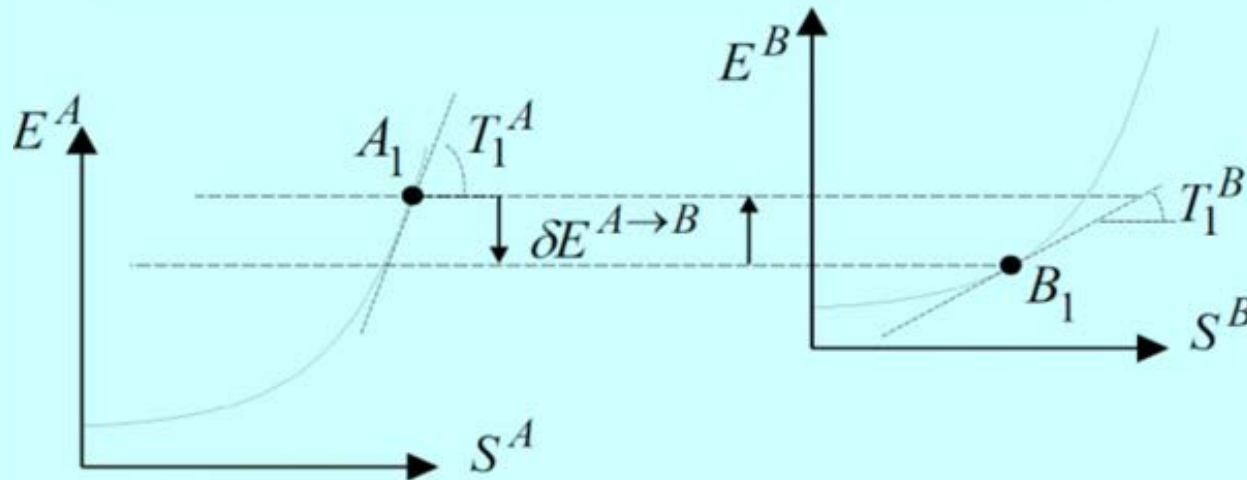
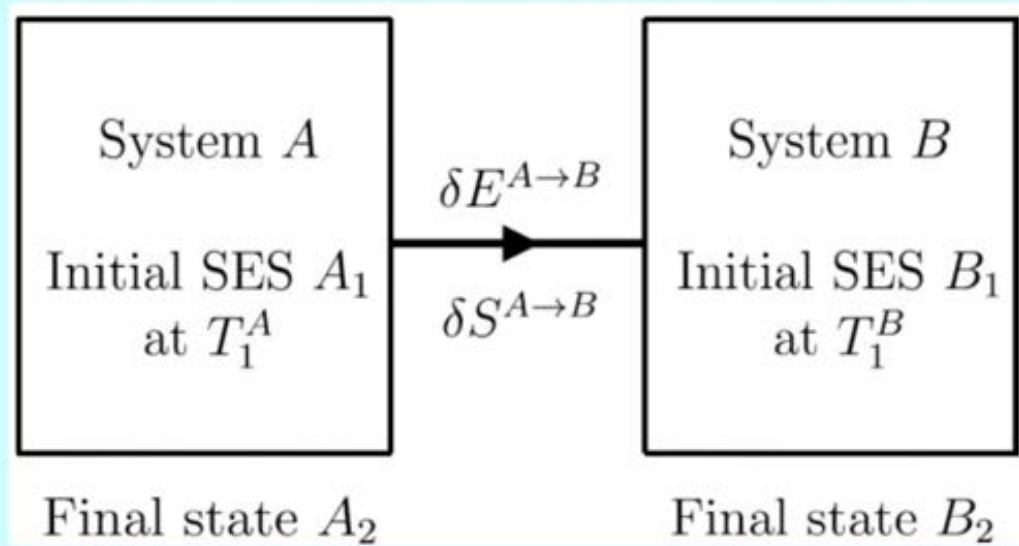
## Review of basic concepts:

### proof of Clausius statement of the Second Law



Review of basic concepts: **nonwork interactions**

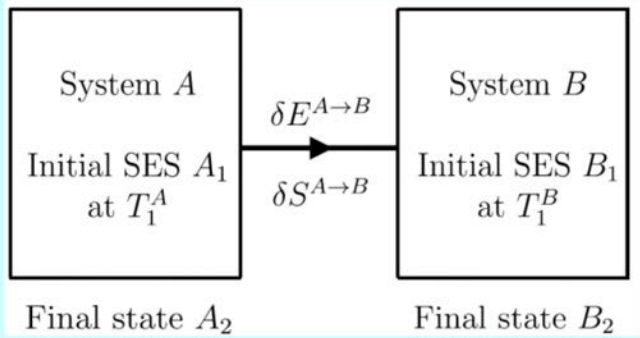
**proof of Clausius statement of the Second Law (1/6)**





# Review of basic concepts: **nonwork interactions**

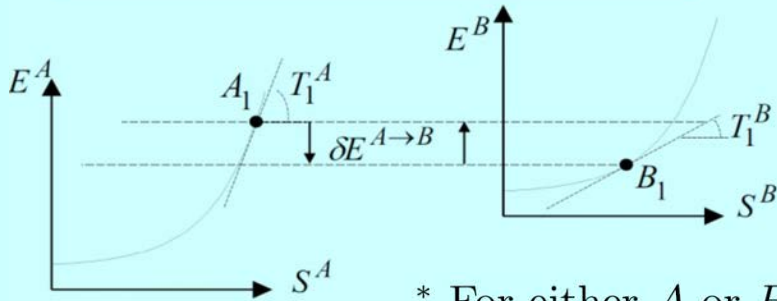
## **proof of Clausius statement of the Second Law (4/6)**



Principle of maximum entropy and fundamental relations of A and B:\*

$$dS^A \leq \frac{dE^A}{T_1^A} + \frac{\partial^2 S_{\text{SES}}^A}{\partial E^2} \bigg|_{E_1^A} \frac{(dE^A)^2}{2} \dots \leq \frac{dE^A}{T_1^A}$$

$$dS^B \leq \frac{dE^B}{T_1^B} + \frac{\partial^2 S_{\text{SES}}^B}{\partial E^2} \bigg|_{E_1^B} \frac{(dE^B)^2}{2} \dots \leq \frac{dE^B}{T_1^B}$$



\* For either A or B:  $E_2 = E_1 + dE$ ,  $S_2 = S_1 + dS$ ,

$$S_2 \leq S_{2,\text{max}} = S_{\text{SES}}(E_1 + dE, V, n) = S_1 + \frac{dE}{T_1} + \frac{\partial^2 S_{\text{SES}}}{\partial E^2} \bigg|_{E_1} \frac{(dE)^2}{2} \dots$$

Energy and entropy balances for A and B:

$$\begin{aligned} dE^A &= -\delta E^{A \rightarrow B} & dS^A &= -\delta S^{A \rightarrow B} + \delta S_{\text{irr}}^A & \delta S_{\text{irr}}^A &\geq_{1A} 0 \\ dE^B &= \delta E^{A \rightarrow B} & dS^B &= \delta S^{A \rightarrow B} + \delta S_{\text{irr}}^B & \delta S_{\text{irr}}^B &\geq_{1B} 0 \end{aligned}$$

## Review of basic concepts: **nonwork interactions**

### **proof of Clausius statement of the Second Law (5/6)**

Energy and entropy balances for A and B:

$$\begin{aligned} dE^A &= -\delta E^{A \rightarrow B} & dS^A &= -\delta S^{A \rightarrow B} + \delta S_{\text{irr}}^A & \delta S_{\text{irr}}^A &\geq_{1A} 0 \\ dE^B &= \delta E^{A \rightarrow B} & dS^B &= \delta S^{A \rightarrow B} + \delta S_{\text{irr}}^B & \delta S_{\text{irr}}^B &\geq_{1B} 0 \end{aligned}$$

Principle of maximum entropy and fundamental relations of A and B:\*

$$dS^A \leq_{2A} \frac{dE^A}{T_1^A} + \left. \frac{\partial^2 S_{\text{SES}}^A}{\partial E^2} \right|_{E_1^A} \frac{(dE^A)^2}{2} \dots \leq_{3A} \frac{dE^A}{T_1^A}$$

$$dS^B \leq_{2B} \frac{dE^B}{T_1^B} + \left. \frac{\partial^2 S_{\text{SES}}^B}{\partial E^2} \right|_{E_1^B} \frac{(dE^B)^2}{2} \dots \leq_{3B} \frac{dE^B}{T_1^B}$$

Combine the above (eliminate  $dE^A$ ,  $dE^B$ ,  $dS^A$ ,  $dS^B$ ):

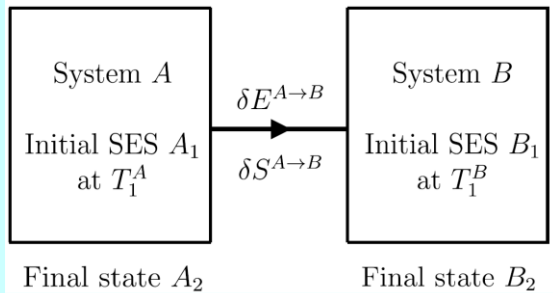
$$-\delta S^{A \rightarrow B} + \delta S_{\text{irr}}^A \leq_{2A,3A} -\frac{\delta E^{A \rightarrow B}}{T_1^A} \quad \delta S^{A \rightarrow B} + \delta S_{\text{irr}}^B \leq_{2B,3B} \frac{\delta E^{A \rightarrow B}}{T_1^B}$$

Solve for  $\delta S^{A \rightarrow B}$ :

$$\frac{\delta E^{A \rightarrow B}}{T_1^A} \leq_{2A,3A} \delta S^{A \rightarrow B} - \delta S_{\text{irr}}^A \leq_{1A} \delta S^{A \rightarrow B} \leq_{1B} \delta S^{A \rightarrow B} + \delta S_{\text{irr}}^B \leq_{2B,3B} \frac{\delta E^{A \rightarrow B}}{T_1^B}$$

# Review of basic concepts: nonwork interactions

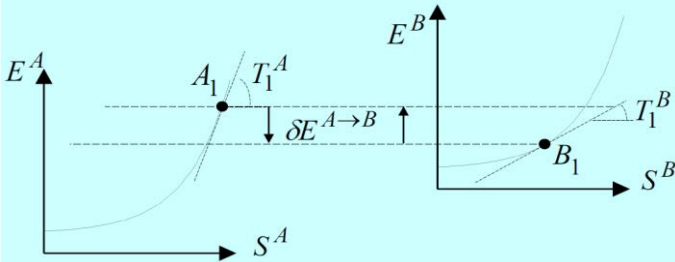
## proof of Clausius statement of the Second Law (6/6)



Energy and entropy balances for A and B:

$$\begin{aligned} dE^A &= -\delta E^{A \rightarrow B} & dS^A &= -\delta S^{A \rightarrow B} + \delta S_{\text{irr}}^A & \delta S_{\text{irr}}^A &\geq_{1A} 0 \\ dE^B &= \delta E^{A \rightarrow B} & dS^B &= \delta S^{A \rightarrow B} + \delta S_{\text{irr}}^B & \delta S_{\text{irr}}^B &\geq_{1B} 0 \end{aligned}$$

Principle of maximum entropy and fundamental relations of A and B:\*



$$\begin{aligned} dS^A &\leq \frac{dE^A}{T_1^A} + \left. \frac{\partial^2 S_{\text{SES}}^A}{\partial E^2} \right|_{E_1^A} \frac{(dE^A)^2}{2} \dots \leq \frac{dE^A}{T_1^A} \\ dS^B &\leq \frac{dE^B}{T_1^B} + \left. \frac{\partial^2 S_{\text{SES}}^B}{\partial E^2} \right|_{E_1^B} \frac{(dE^B)^2}{2} \dots \leq \frac{dE^B}{T_1^B} \end{aligned}$$

Consequences of

$$\frac{\delta E^{A \rightarrow B}}{T_1^A} \leq \delta S^{A \rightarrow B} \leq \frac{\delta E^{A \rightarrow B}}{T_1^B}$$

Combine the above (eliminate  $dE^A$ ,  $dE^B$ ,  $dS^A$ ,  $dS^B$ ):

$$-\delta S^{A \rightarrow B} + \delta S_{\text{irr}}^A \leq_{2A,3A} -\frac{\delta E^{A \rightarrow B}}{T_1^A} \quad \delta S^{A \rightarrow B} + \delta S_{\text{irr}}^B \leq_{2B,3B} \frac{\delta E^{A \rightarrow B}}{T_1^B}$$

Solve for  $\delta S^{A \rightarrow B}$ :

$$\frac{\delta E^{A \rightarrow B}}{T_1^A} \leq_{2A,3A} \delta S^{A \rightarrow B} - \delta S_{\text{irr}}^A \leq_{1A} \delta S^{A \rightarrow B} \leq_{1B} \delta S^{A \rightarrow B} + \delta S_{\text{irr}}^B \leq_{2B,3B} \frac{\delta E^{A \rightarrow B}}{T_1^B}$$

**Clausius statement:**

$$\delta E^{A \rightarrow B} \geq 0 \text{ only if } T_1^A \geq T_1^B$$

**Heat interaction:**

$$\text{in the limit } T_1^A \rightarrow T_Q \leftarrow T_1^B$$

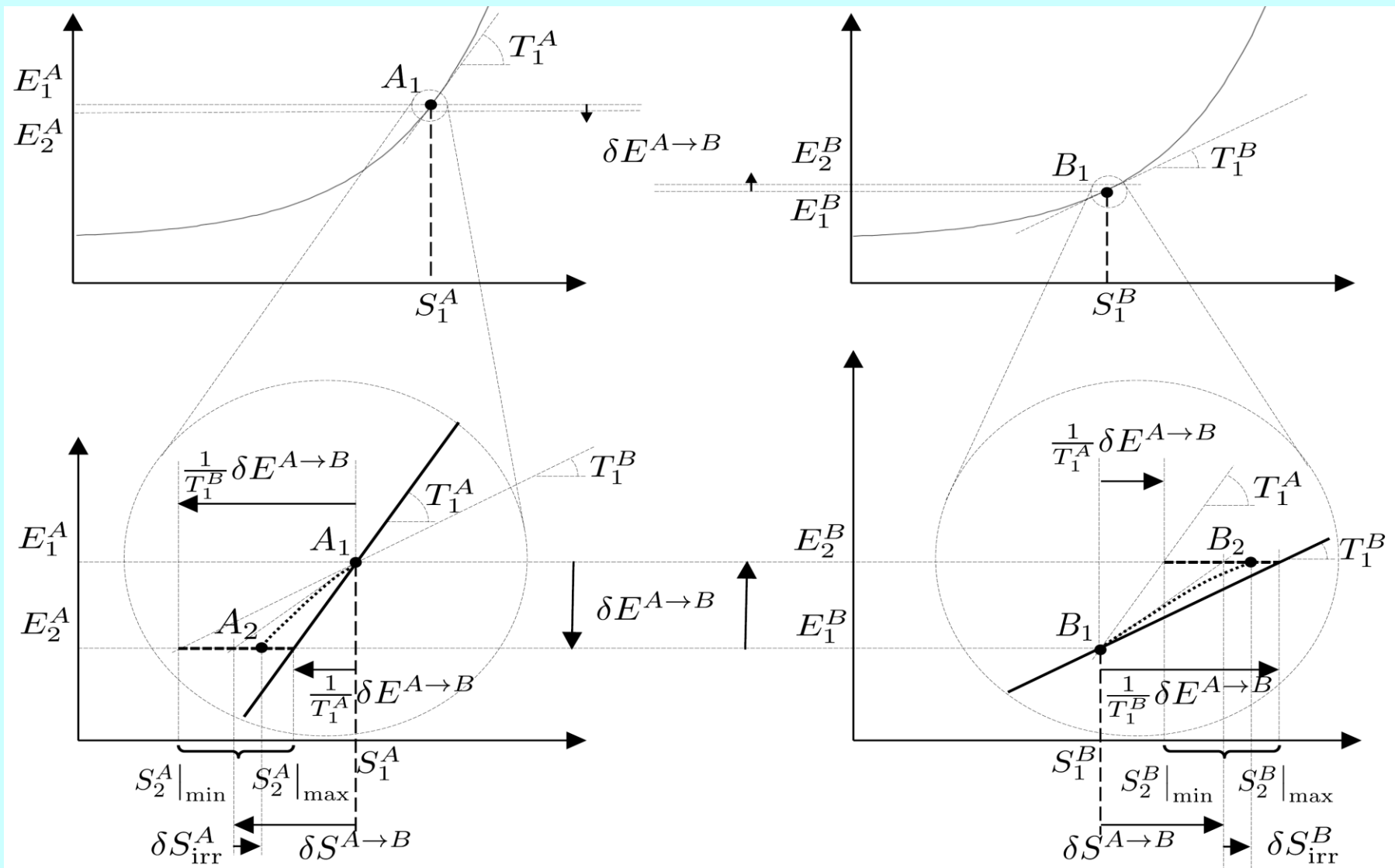
$$\delta S^{A \rightarrow B} = \frac{\delta E^{A \rightarrow B}}{T_Q}$$

\* For either A or B:  $E_2 = E_1 + dE$ ,  $S_2 = S_1 + dS$ ,

$$S_2 \leq S_{2,\text{max}} = S_{\text{SES}}(E_1 + dE, V, n) = S_1 + \frac{dE}{T_1} + \left. \frac{\partial^2 S_{\text{SES}}}{\partial E^2} \right|_{E_1} \frac{(dE)^2}{2} \dots$$

# graphical proof of Clausius inequality (infinitesimal transfers)

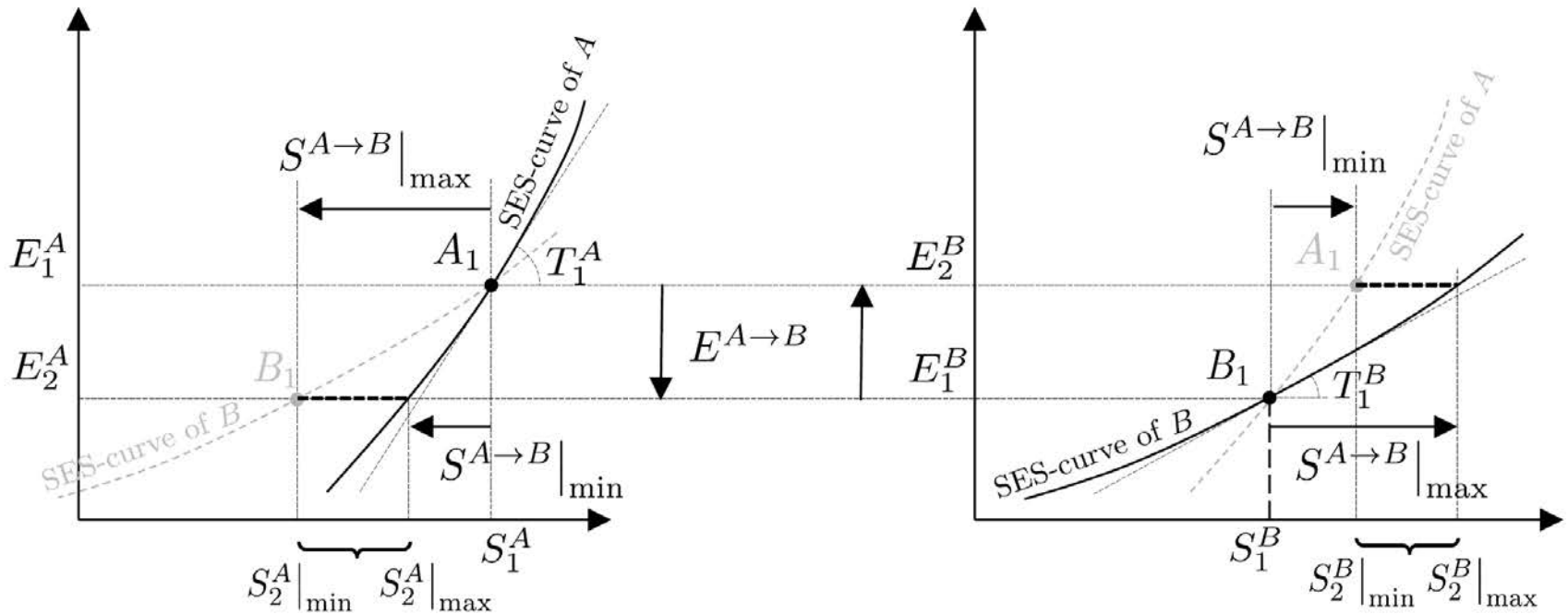
$$\frac{\delta E^{A \rightarrow B}}{T_1^A} \underset{2A, 3A}{\leq} \delta S^{A \rightarrow B} - \delta S_{\text{irr}}^A \underset{1A}{\leq} \delta S^{A \rightarrow B} \underset{1B}{\leq} \delta S^{A \rightarrow B} + \delta S_{\text{irr}}^B \underset{2B, 3B}{\leq} \frac{\delta E^{A \rightarrow B}}{T_1^B}$$



# graphical proof of a more precise Clausius inequality

## valid for finite transfers of energy and entropy

$$S_{\text{SES}}^B(E_1^B + E^{A \rightarrow B}, V^B, n^B) - S_1^B \leq S^{A \rightarrow B} \leq S_1^A - S_{\text{SES}}^A(E_1^A - E^{A \rightarrow B}, V^A, n^A)$$

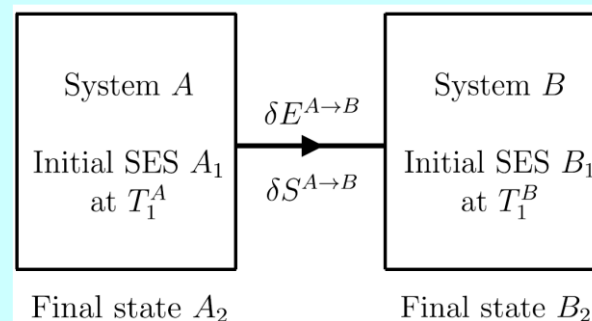


Systems  $A$  and  $B$  are initially in SES and interact directly without other effects by exchanging a finite amount  $E^{A \rightarrow B}$  of energy. Such exchange can occur only if there is also an entropy  $S^{A \rightarrow B}$  transfer, at least  $S^{A \rightarrow B}|_{\min}$  but no more than  $S^{A \rightarrow B}|_{\max}$ .

**Next:**

**Work interactions**  
**Adiabatic process**

**Non-Work interactions**  
**Heat interactions**



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## 2.43 Advanced Thermodynamics

Spring 2024

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